

Holomorphic potentials for graded D-branes

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ABSTRACT: We discuss gauge-fixing, propagators and effective potentials for topological A-brane composites in Calabi-Yau compactifications. This allows for the construction of a holomorphic potential describing the low-energy dynamics of such systems, which generalizes the superpotentials known from the ungraded case. Upon using results of homotopy algebra, we show that the string field and low energy descriptions of the moduli space agree, and that the deformations of such backgrounds are described by a certain extended version of ‘off-shell Massey products’ associated with flat graded superbundles. As examples, we consider a class of graded D-brane pairs of unit relative grade. Upon computing the holomorphic potential, we study their moduli space of composites. In particular, we give a general proof that such pairs can form acyclic condensates, and, for a particular case, show that another branch of their moduli space describes condensation of a two-form.

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1. Introduction

Calabi-Yau compactifications of type II strings in the presence of D-branes form an interesting class of superstring vacua in four dimensions, with rich potential applications for string phenomenology. Such compactifications have recently attracted a great deal of attention [1, 2, 3, 4, 5, 6, 3, 7, 8, 9]. While compactifications in the presence of one brane are at least conceptually well-understood, the situation is rather different for backgrounds containing more general D-brane configurations, whose systematic study has begun only recently. One of the central problems in the subject is the issue of D-brane composites, i.e. bound states of various D-branes resulting upon condensation of spacetime fields associated with boundary condition changing sectors [1, 2, 10, 11]. The basic process of this type (namely tachyon condensation) is known to lead to a wealth of D-brane composites, whose systematic analysis is rather involved.

Perhaps the most powerful approach to this subject has been proposed in [1]. The strategy is to separate the problem into a ‘topological step’ (described by the associated twisted models [12, 13, 14]), which allows one to classify all composites resulting from condensation of space-time fields associated with open string chiral primaries, and a condition [1, 9], whose role is to identify those composites which are stable under decay.

In view of this description, a better understanding of D-brane condensates requires a detailed study of their topological avatars. While the spectrum of topological composites is by now relatively well-established (being described by objects of certain categories naturally associated with the closed string background), rather little is currently known about another basic aspect, namely their *moduli space*.

The purpose of this paper is to initiate the study of such moduli spaces. The approach we propose will be based on a particularly explicit formulation of D-brane dynamics which describes the formation of such composites within the framework of topological string field theory [15, 16, 17, 19, 20]. This description results from the basic observation of [21, 22] that topological D-branes of a Calabi-Yau compactification are *graded* objects. The models of [17, 19, 20] are based on a certain extension of the topological field theories of open A/B strings [14], which is devised to take into account the novel data provided by the D-brane grades. This can be formulated as a ‘graded Chern-Simons field theory’, a version of Chern-Simons theory based on a graded superbundle¹.

A preliminary study of these models was carried out in [16, 17, 19], which gave arguments relating their moduli spaces to certain enhanced triangulated categories naturally associated with the problem. It was also argued in [19] that the moduli space of such theories can be viewed as a certain ‘extended’ version of the moduli space

¹We stress that this does *not* coincide with the super-Chern-Simons field theory considered in [23].

of ungraded D-branes. In this description, ‘nonstandard’ directions in the extended moduli space correspond to condensation processes, and nonstandard moduli points are associated with topological brane composites. Hence one may study such points with usual field-theoretic tools. Moreover, it was showed in [20] that graded Chern-Simons theories are consistent as classical BV systems, and thus form a good starting point for a quantum analysis.

From a mathematical perspective, the moduli spaces discussed in [19, 20] correspond to a deformation problem, whose local description is of Maurer-Cartan type. Since the resulting deformations may be obstructed, a detailed analysis of the moduli space requires a systematic study of obstructions.

In fact, obstructed deformations are also common in ungraded D-brane systems. A method of dealing with obstructions (as they apply to the ungraded case) was proposed in [24], where it was shown that the correct moduli space can be described in terms of a certain potential for the massless modes ². This potential, which is extremely natural from a string field-theoretic point of view, coincides with the D-brane superpotentials of [4] (see also [6]). Moreover, the potential is intimately related to certain constructions of modern deformation theory [25, 26, 27, 28] (see also [29]), which were used to establish the main result of [24]. One advantage of this approach is that it allows for a description of the moduli space which does not involve differential equations –and thus is often more effective from a computational point of view. As explained for example in [26, 27], this is intimately connected with standard constructions of Kuranishi theory [30].

Since the definition of the potential given in [24] is purely field-theoretic in nature, one expects that it extends to the graded theories of [19, 20, 17]. In this paper, we show that this is indeed the case. In particular, we shall build a holomorphic potential which is a natural extension of the brane superpotentials of [24] to the case of graded D-branes. Moreover, the arguments of [24] can be adapted to this situation in order to show that the holomorphic potential we construct provides an equivalent description of the moduli space. This allows us to determine the moduli space of topological composites for a particular class of graded D-brane pairs of unit relative grade.

The present paper is organized as follows. In Section 2, we discuss some basic aspects of the theories under study. The models we consider describe arbitrary collections of topological D-branes wrapping a given special Lagrangian cycle of a Calabi-Yau threefold compactification, and provide a description of chiral primary dynamics³. After reviewing the origin and structure of such theories, we discuss their gauge symmetries and moduli spaces. We also construct a certain conjugation operator and characterize

²The idea of such a potential goes back to the work of [14], and is also implicit in [25].

³We consider the large radius limit only, in order to avoid instanton corrections to the string field action.

the associated zero modes in terms of Hodge theory. Section 3 considers the example of D-brane pairs with unit relative grade. Upon using the methods of Section 2, we analyze harmonic modes of such a system. This leads to a completely general discussion of acyclic composites, which extends certain results of [20]. We also give a preliminary analysis of the relevant moduli spaces. In Section 4, we consider the partition function of our models and give a physical definition of a holomorphic potential for virtual deformations (a.k.a. massless, or harmonic modes). Upon using a straightforward extension of the gauge-fixing condition employed in [24], we discuss the tree-level expansion of the potential around a classical solution and the algebraic structure of scattering products. Applying results of modern deformation theory, we show that the moduli space can be described locally as a quotient of the critical set of the potential through the action of certain symmetries. This generalizes the results of [24] to the case of graded D-branes. The results of this section assume consistency of our gauge-fixing procedure. A general proof of this statement, which requires the Batalin-Vilkovisky formalism, turns out to be quite technical and will be given in a companion paper [32]. The main results of that analysis are summarized in Subsection 4.2. Section 5 considers the application of our methods to graded D-brane pairs (of unit relative grade) on a three-torus. For the singly-wrapped case, we compute the holomorphic potential and its symmetry group, and give a local description of the moduli space. We also make a few observation about the multiply-wrapped case. Section 6 presents our conclusions and a few directions for further research. Appendix A contains some technical details, while Appendix B gives an alternate (but equivalent) construction of the tree-level approximation to the holomorphic potential.

2. Structure of the string field theory

Consider a special Lagrangian 3-cycle L of a Calabi-Yau threefold X . Throughout this paper, we assume that L is connected. We shall be interested in systems of graded topological D-branes (of different grades) wrapping this cycle. As argued in [19], such systems are described (in the large radius limit) by a string field theory which is a graded form of Chern-Simons field theory. To formulate this, we first review the ‘BPS grade’ of [5, 1, 2, 9] and its relation with a choice of orientation of the cycle [19].

2.1 The BPS grade

Let Ω be the holomorphic 3-form of X , normalized such that $\frac{\omega^3}{3!} = \frac{i}{8}\Omega \wedge \overline{\Omega}$. In this case, Ω is determined up to a phase, which we fix for what follows. Recall that a Lagrangian cycle L is *special Lagrangian* (this description follows from Proposition 2.11 of [18]) if

there exists a complex number λ of unit modulus such that:

$$Im(\lambda\Omega)|_L = 0 \quad . \quad (2.1)$$

This condition determines λ up to a sign ambiguity ($\lambda \rightarrow -\lambda$), so that only the complex quantity λ^2 is naturally defined by the (unoriented) cycle L . Given a choice for λ , the real 3-form $\lambda\Omega|_L$ is nondegenerate and thus induces an orientation \mathcal{O}_λ of L . When endowed with this orientation, L is a calibrated submanifold of X with respect to the calibration given by $Re(\lambda\Omega)$. In particular, one has:

$$Re(\lambda\Omega)|_L = \lambda\Omega|_L = vol_{L,\mathcal{O}_\lambda} \quad , \quad (2.2)$$

where $vol_{L,\mathcal{O}_\lambda}$ is the volume form on L induced by the Calabi-Yau metric of X and the orientation \mathcal{O}_λ . We have $\int_{L,\mathcal{O}_L} \Omega = \frac{\beta}{\lambda}$, where $\beta = vol(L) = |\int_L \Omega| > 0$ is a positive number. Hence:

$$\lambda^{-1} = \frac{\int_{L,\mathcal{O}_\lambda} \Omega}{|\int_L \Omega|} \Leftrightarrow \lambda_{\mathcal{O}}^{-1} = \frac{\int_{L,\mathcal{O}} \Omega}{|\int_L \Omega|} \quad , \quad (2.3)$$

which shows how an orientation \mathcal{O} of L determines λ .

Following [2, 9], we define the *BPS grade* of the *oriented* cycle (L, \mathcal{O}) via:

$$\phi_{L,\mathcal{O}} = \frac{1}{\pi} \arg \int_{L,\mathcal{O}} \Omega = \frac{1}{\pi} \text{Im} \log \int_{L,\mathcal{O}} \Omega \quad . \quad (2.4)$$

Note that $\phi_{L,\mathcal{O}}$ only depends on the homology class $[L, \mathcal{O}]$ of the oriented cycle (L, \mathcal{O}) (the pushforward of its fundamental class through the inclusion map). With this definition, we have:

$$\lambda_{\mathcal{O}} = e^{-i\pi\phi_{L,\mathcal{O}}} \Rightarrow \lambda^2 = e^{-2i\pi\phi_{L,\mathcal{O}}} \quad . \quad (2.5)$$

It is clear that $\phi_{L,\mathcal{O}}$ is determined by (L, \mathcal{O}) only up to a shift by 2. Moreover:

$$\phi_{L,-\mathcal{O}} = \phi_{L,\mathcal{O}} + 1 \quad (mod \ 2) \quad . \quad (2.6)$$

Note that there exists a ‘fundamental’ choice of grading, namely $\phi \in [0, 1)$. This induces the ‘fundamental orientation’ \mathcal{O}_0 for which $Im(\lambda_{\mathcal{O}_0}^{-1}) > 0$.

It can be argued in various ways that a consistent description of D-brane systems forbids one from restricting ϕ to a fundamental domain (of length two). The basic picture is as follows. Following the approach of [34, 15], we are given a closed conformal field theory (parameterized by the complex and Kahler structure of X), whose moduli space \mathcal{Q} can be described as a product between the complex structure moduli space of X and that of its mirror. For any point in this moduli space (i.e. for a fixed closed CFT background), we are interested in the category of all D-branes compatible with

this bulk CFT; in the language of [34, 15], this is the category of open-closed extensions of the bulk theory. Invariance of our physical description requires that this category \mathcal{C} be well-defined at every point in \mathcal{Q} . In general, a given D-brane cannot be deformed to an object which is stable throughout this moduli space; moreover, monodromies around the discriminant loci will act nontrivially on the category \mathcal{C} . The requirement of a well-defined theory implies that the monodromy group be represented through ‘autoequivalences’ (in an appropriate sense) of \mathcal{C} . This condition must hold both at the topological level (i.e. if \mathcal{C} consists of all topological D-branes compatible with the bulk theory) and at the level of stable BPS branes. Restricting to the topological D-brane category, it is clear that such monodromies will shift the grade of various objects outside of any fundamental domain, which is why one must consider all branches of (2.4)⁴. The monodromy action should be viewed as a group of discrete gauge-invariances of the topological D-brane theory.

Using such monodromy actions (for example, monodromy around a large complex structure point of X , with the Kahler parameters kept close to large radius), one can generally produce objects of \mathcal{C} based on the cycle L , but whose grades are shifted by $2n$ for any $n \in \mathbb{Z}$; we shall call such objects the ‘shifts’ of L . As argued in [15, 16], the full category of topological D-branes must in fact be enlarged to the collection of all topological brane composites, which result by considering all condensation processes of spacetime-fields associated with topological boundary condition changing operators. A monodromy-invariant description requires that we consider condensates between an object and its shifts. In this paper, we are interested in the sector of topological string field theory which describes the formation of such condensates⁵.

Given a special Lagrangian cycle L , let us thus consider the collection of all its shifts $L[2n]$ (with $n \in \mathbb{Z}$). Since changing the orientation of L is related (modulo a change in GSO projections) to passing from a brane to its antibrane, and in view of relation (2.6), one must in fact also consider shifts $L[2n+1]$ by odd integers. Hence a complete description of D-branes wrapping L must consider all integral shifts of L . For

⁴A monodromy action typically transforms a D-brane described by a (cycle, bundle) pair into a D-brane composite (obtained from a collection of (cycle, bundle) pairs by condensation of fields supported at their intersections. Such composites are related to Fukaya’s category [37, 38]. On the other hand, some other composite will generally be transformed into the original (cycle, bundle) pair (with a shifted grade) upon the same monodromy transformation.

⁵In the mirror picture, this is the sector describing a B-type brane based on a coherent sheaf \mathcal{E} (or a complex of such) and all of its shifts. Note that we do not pass to the derived category. The existence of graded objects and of shift functors is a *prerequisite* of the derived category description. In fact, the arguments of [1, 2] are based on this assumption. For certain problems, the derived category language is not the most advantageous. The problem of deformations, which is the main focus of this paper, is one such example.

any such brane $L[n]$, one also specifies a background given by a flat connection A_n in a complex vector bundle E_n over L . Since we work with the topological model, there is no need to impose (anti) hermicity conditions on A_n (we shall allow the string field action to be complex ⁶). Given this data, it was argued in [19] that the topological string field theory of the D-brane collection $(L[n], A_n)$ is a ‘graded Chern-Simons model’, which we now explain.

2.2 Ingredients of the topological model

Given a collection of graded branes (of different grades n) wrapping L , we form the total bundle $\mathbf{E} = \oplus_n E_n$, endowed with the \mathbb{Z} -grading induced by n . As argued in [19], the presence of D-brane grades which differ by integers shifts the assignment of worldsheet $U(1)$ charge in the various boundary condition changing sectors. The result is that the charge of states of strings stretching from E_m to E_n is shifted by $n - m$. Since such states localize [14] on differential forms on L , valued in $\text{Hom}(E_m, E_n)$, the worldsheet charge induces a grading $|\cdot|$ on the space of $\text{End}(\mathbf{E})$ -valued forms:

$$|u| = rku + \Delta(u) \quad , \quad (2.7)$$

where $\Delta(u) = n - m$ if $u \in \Omega^*(L, \text{Hom}(E_m, E_n))$. In geometric terms, we are interested in sections u of the bundle:

$$\mathcal{V} = \Lambda^*(T^*L) \otimes \text{End}(\mathbf{E}) \quad , \quad (2.8)$$

endowed with the grading $\mathcal{V} = \oplus_t \mathcal{V}^t$, where:

$$\mathcal{V}^t = \oplus_{\substack{k, m, n \\ k + n - m = t}} \Lambda^k(T^*L) \otimes \text{Hom}(E_m, E_n) \quad . \quad (2.9)$$

The space $\mathcal{H} = \Gamma(\mathcal{V})$ of such sections is the *total boundary space* of [19], and can be interpreted as the collection of all open string states of the system. It is endowed with the grading $\mathcal{H}^k = \Gamma(\mathcal{V}^k)$.

The second ingredient of [19] is the so-called *total boundary product*, which is defined through:

$$u \bullet v = (-1)^{\Delta(u)rk_v} u \wedge v \quad , \quad (2.10)$$

where the wedge product on the right hand side includes composition of morphisms in $\text{End}(\mathbf{E})$. As discussed in [19] that this product is associative (albeit not commutative, in general). It also admits the identity endomorphism 1 of \mathbf{E} as a neutral element:

$$1 \bullet u = u \bullet 1 = u \quad . \quad (2.11)$$

⁶This is quite standard for the (ungraded) topological open B-model, whose action is complex as well.

Moreover, the product is compatible with the grading on \mathcal{H} :

$$|u \bullet v| = |u| + |v| \quad (2.12)$$

(note that $|1| = 0$), and thus endows this space with a structure of graded associative algebra.

The third ingredient arises by noticing that \mathbf{E} is endowed with a flat structure, the direct sum of flat structures on the bundles E_n . This can be described by the direct sum connection $A^{(0)} = \oplus_n A_n$. The flat connection $A^{(0)}$ determines a nilpotent differential d on \mathcal{H} , the de Rham differential coupled to the connection induced by $A^{(0)}$ on $End(\mathbf{E})$. This operator acts as a degree one derivation of the boundary product:

$$|du| = |u| + 1 \quad , \quad d(u \bullet v) = (du) \bullet v + (-1)^{|u|} u \bullet (dv) \quad . \quad (2.13)$$

Endowed with the product \bullet and this differential, \mathcal{H} becomes a *differential graded associative algebra* (dGA).

The final ingredient of [19] is a bilinear form on \mathcal{H} induced by the graded trace on the bundle $End(\mathbf{E})$. The latter is defined through:

$$str(u) = \sum_n (-1)^n tr(u_{nn}) \quad , \quad \text{for } u = \oplus_{m,n} u_{mn} \quad , \quad (2.14)$$

with $u_{mn} \in \Omega^*(L, Hom(E_m, E_n))$. This associates a form with complex coefficients to every $End(\mathbf{E})$ -valued form on L . The bilinear form:

$$\langle u, v \rangle := \int_L str(u \bullet v) \quad (2.15)$$

is non-degenerate and has the properties:

$$\begin{aligned} \langle u, v \rangle &= (-1)^{|u||v|} \langle v, u \rangle \\ \langle du, v \rangle + (-1)^{|u|} \langle u, dv \rangle &= 0 \\ \langle u \bullet v, w \rangle &= \langle u, v \bullet w \rangle \quad . \end{aligned} \quad (2.16)$$

In words, it is a graded-symmetric, invariant bilinear form on the differential graded algebra $(\mathcal{H}, d, \bullet)$. In (2.15) and in all other integrals over L , we assume that the special Lagrangian cycle has been endowed with its ‘fundamental’ orientation $|calO_0$ (see [19] and Subsection 2.1).

2.3 The action

The string field theory of [19, 20] is described by the action:

$$S(\phi) = Re \int_L str \left[\frac{1}{2} \phi \bullet d\phi + \frac{1}{3} \phi \bullet \phi \bullet \phi \right] = \frac{1}{2} \langle \phi, d\phi \rangle + \frac{1}{3} \langle \phi, \phi \bullet \phi \rangle \quad , \quad (2.17)$$

which is defined on the degree one component

$$\mathcal{H}^1 = \{\phi \in \mathcal{H} \mid |\phi| = 1\} = \Gamma(\oplus_{k+n-m=1} \Lambda^k(T^*L) \otimes \text{Hom}(E_m, E_n)) \quad (2.18)$$

of the total boundary space. This action defines a ‘graded Chern-Simons field theory’, which is related to, but *not* identical with⁷, the super-Chern-Simons field theory considered in [33, 23]. The physics described by (2.17) is considerably more complicated than that of usual or super-Chern-Simons theories.

2.4 Gauge symmetries

The theory (2.17) is invariant with respect to a gauge group which can be described as follows. Since the boundary product is compatible with the degree $|\cdot|$, it follows that the subspace $\mathcal{H}^0 = \Gamma(\oplus_{k+n-m=0} \Lambda^k(T^*L) \otimes \text{Hom}(E_m, E_n))$ of charge zero elements of \mathcal{H} forms a subalgebra of the total boundary algebra (\mathcal{H}, \bullet) . Since $|1| = 0$, this subalgebra has a unit. It follows that the set:

$$\mathcal{G} = \{g \in \mathcal{H}^0 \mid \text{exists } g^{-1} \in \mathcal{H}^0 \text{ such that } g \bullet g^{-1} = g^{-1} \bullet g = 1\} \quad (2.19)$$

of invertible elements of (\mathcal{H}^0, \bullet) forms a group with respect to the boundary multiplication. Its adjoint action:

$$u \rightarrow \text{Ad}_g(u) := g \bullet u \bullet g^{-1} \quad , \quad \text{for } u \in \mathcal{H} \quad , \quad g \in \mathcal{G} \quad (2.20)$$

on the total boundary space preserves the worldsheet degree $|\cdot|$, and in particular induces an action on the subspace \mathcal{H}^1 of degree one states.

If g is close to the identity, then one can use the exponential parameterization:

$$g = e_{\bullet}^{\alpha} := \sum_{k \geq 0} \frac{1}{k!} \alpha^{\bullet k} \quad , \quad (2.21)$$

where $\alpha^{\bullet k}$ stands for k -th iteration of the \bullet -product of α with itself (and we define $\alpha^{\bullet 0} := 1$). In particular, the Lie algebra of \mathcal{G} can be described as follows. For any two elements u, v of \mathcal{H} , we define their *graded commutator* by:

$$[u, v]_{\bullet} := u \bullet v - (-1)^{|u||v|} v \bullet u \quad . \quad (2.22)$$

This bracket is graded antisymmetric:

$$[u, v]_{\bullet} = -(-1)^{|u||v|} [v, u]_{\bullet} \quad (2.23)$$

⁷The major difference is that the theories of [33, 23] contain only physical fields of rank one, while our models will typically contain physical fields of all ranks. It should be noted that the proposal of [23] would require condensation of ghosts and/or antifields, which does not seem to be a physically meaningful process.

and satisfies the graded Jacobi identity:

$$[[u, v]_{\bullet}, w]_{\bullet} + (-1)^{|u|(|v|+|w|)}[[v, w]_{\bullet}, u]_{\bullet} + (-1)^{|w|(|u|+|v|)}[[w, u]_{\bullet}, v]_{\bullet} = 0 \quad , \quad (2.24)$$

as well as the relation:

$$d[u, v]_{\bullet} = [du, v]_{\bullet} + (-1)^{|u|}[u, dv]_{\bullet} \quad , \quad (2.25)$$

thereby making \mathcal{H} into a differential graded Lie algebra (dGLA). The subspace \mathcal{H}^0 of degree zero elements is closed under the bracket, and forms a *usual* Lie algebra with respect to the induced operation, which on degree zero elements coincides with the standard commutator:

$$[\alpha, \beta]_{\bullet} = \alpha \bullet \beta - \beta \bullet \alpha \quad \text{for} \quad \alpha, \beta \in \mathcal{H}^0 \quad . \quad (2.26)$$

It is clear that $(\mathcal{H}^0, [.,.]_{\bullet})$ coincides with the Lie algebra of the gauge group \mathcal{G} . Differentiating (2.20) shows that this algebra acts on \mathcal{H} through its adjoint representation:

$$u \rightarrow ad_{\alpha}(u) := [\alpha, u]_{\bullet} \quad . \quad (2.27)$$

By analogy with usual Chern-Simons theory, we consider the gauge transformations:

$$\phi \rightarrow \phi^g = g \bullet \phi \bullet g^{-1} + g \bullet dg^{-1} \quad . \quad (2.28)$$

Upon using the derivation property of d , the identity $g \bullet g^{-1} = g^{-1} \bullet g = 1$ implies:

$$dg^{-1} = -g^{-1} \bullet (dg) \bullet g^{-1} \quad . \quad (2.29)$$

Combining this identity with the invariance properties of the bilinear form, one can check that the action (2.17) transforms as follows under (2.28):

$$S(\phi) \rightarrow S(\phi^g) = S(\phi) + \Delta(g) \quad , \quad (2.30)$$

where:

$$\Delta(g) = \frac{1}{6} \int_L str(g^{-1} \bullet dg \bullet g^{-1} \bullet dg \bullet g^{-1} \bullet dg) \quad . \quad (2.31)$$

For infinitesimal α , the gauge transformations (2.28) become:

$$\phi \rightarrow \phi + \delta_{\alpha} \phi \quad , \quad (2.32)$$

with $\delta_{\alpha} \phi = -d\alpha - [\phi, \alpha]_{\bullet}$, and one can directly check the relation:

$$\delta_{\alpha} \delta_{\beta} \phi - \delta_{\beta} \delta_{\alpha} \phi = \delta_{[\alpha, \beta]_{\bullet}} \phi \quad , \quad (2.33)$$

i.e. the gauge algebra closes off shell. For an infinitesimal transformation (2.32), one has $g^{-1} \bullet dg = d\alpha + O(\alpha^2)$ and thus the quantity $\Delta(g)$ vanishes to third order in α :

$$\Delta(g) = \frac{1}{6} \int_L \text{str}(d\alpha \bullet d\alpha \bullet d\alpha) + O(\alpha^4) = \frac{1}{6} \int_L d\text{str}(\alpha \bullet d\alpha \bullet d\alpha) + O(\alpha^4) = O(\alpha^4) \quad . \quad (2.34)$$

This implies:

$$\frac{d}{dt} S(\phi^{e^{t\alpha}}) = 0 \Rightarrow S(\phi^{e^{t\alpha}}) = S(\phi) \quad \text{for } \alpha \in \mathcal{H}^0 \quad . \quad (2.35)$$

Taking $t = 1$ shows that the action 2.17 is invariant⁸ under *small gauge transformations*, which we define as those gauge transformations which can be written in the exponential form (2.21).

We finally note that the adjoint action (2.28) of a ‘small’ element g can be written as:

$$Ad_{e^\alpha} = e^{ad_\alpha} \quad , \quad (2.36)$$

where the right hand side is the formal exponential of ad_α viewed as a linear operator in the vector space \mathcal{H} . Moreover, the gauge group action (2.28) takes the form:

$$\phi \rightarrow \phi^{e^\alpha} = e^{ad_\alpha} \phi - \frac{e^{ad_\alpha} - 1}{ad_\alpha} d\alpha \quad , \quad (2.37)$$

where the fraction in the last term is formally defined by its power series expansion (better, by functional calculus). This recovers the formulation used in [24].

The ungraded case Since our description of the gauge group may seem unfamiliar, let us consider what it becomes in the ungraded case. This corresponds to $\mathbf{E} = E_0$, i.e. a single ungraded D-brane wrapping L . In this situation, (2.17) reduces to the usual Chern-Simons theory coupled to the bundle E_0 (and expanded around the background flat connection A_0). The degree zero component of the total boundary algebra is $\mathcal{H}^0 = \Gamma(\text{End}(E_0))$, the space of endomorphisms of the bundle E_0 ; this is endowed with the multiplication given by usual fiberwise composition of morphisms:

$$\alpha \bullet \beta = \alpha \circ \beta \quad . \quad (2.38)$$

The units of this algebra form the standard gauge group $\mathcal{G} = \Gamma(\text{Aut}(E_0))$ of automorphisms of E_0 . Our presentation of \mathcal{G} in the graded case is the generalization of this description.

⁸In the case of usual Chern-Simons theory, one uses a formulation in terms of principal bundles and shows that the action transforms by integer shifts (in appropriate units) under large gauge transformations, and thus the path integral is gauge-invariant in this general sense. It is likely that a similar result holds true for our theories. Instead of attempting a proof, we shall be pragmatic and restrict to small gauge transformations. This will suffice for the perturbative analysis of Section 4.

2.5 The classical moduli space and its interpretation

The critical points of (2.17) are solutions to the equation:

$$\frac{\delta S}{\delta \phi} = 0 \iff d\phi + \frac{1}{2}[\phi, \phi]_{\bullet} = 0 \quad , \quad (2.39)$$

with ϕ an element of \mathcal{H}^1 (note that $\frac{1}{2}[\phi, \phi]_{\bullet} = \phi \bullet \phi$ for a degree one element ϕ). The equation of motion (2.39) is invariant under the gauge group action (2.28) (with g an arbitrary gauge transformation, small or large). The moduli space \mathcal{M} results upon dividing the space of solutions through this gauge group action. The Maurer-Cartan condition (2.39) describes deformations of the reference connection $A^{(0)}$ into a ‘flat superconnection A of total degree one’ (in the sense of [35]). Hence \mathcal{M} is the moduli space of such superconnections, defined on the graded bundle \mathbf{E} . The original background $A^{(0)}$ corresponds to a ‘diagonal’ superconnection, constructed as a direct sum of flat connections on the bundles E_n .

The adjoint and gauge-group actions (2.20, 2.28) preserve the degree $|\cdot|$. The total grading of the bundle \mathcal{V} is a gauge-invariant concept, and the collection \mathcal{S} of flat degree one superconnections is well-defined. The gauge-group action (2.28) preserves \mathcal{S} , and the moduli space \mathcal{M} can be defined geometrically as the quotient $\mathcal{M} = \mathcal{S}/\mathcal{G}$. A reference point is only necessary when writing the Maurer-Cartan equation (2.39). In physical terms, a reference superconnection appears because we use a background-dependent formulation of the string field theory.

In general, \mathcal{M} is a rather complicated object, which depends on the topology of L and on the structure of the graded superbundle \mathbf{E} . It is clear that this moduli space can have singularities or fail to be compact, and that a global study requires some careful analysis in the manner familiar from the usual theory of flat connections.

Following [15, 16] and [19], we recall the D-brane interpretation of \mathcal{M} . As argued in those papers, an off-diagonal background corresponds to condensation of the space-time fields associated with the combination of boundary condition changing operators described by the string field ϕ . This process leads to the formation of D-brane composites, thus altering the brane interpretation of the background. The main observation is that an off-diagonal background violates the original decomposition of the total boundary space into the sectors $\Gamma(\Lambda^*(T^*L) \otimes \text{Hom}(E_m, E_n))$, and thus alters the D-brane content of the theory. When expanded around the new background, the string field action has the same form (2.17) (up to addition of an irrelevant constant), but with a shifted differential:

$$d \rightarrow d_{\phi} \quad , \quad d_{\phi}u = du + [\phi, u]_{\bullet} \quad . \quad (2.40)$$

As explained in [15, 16], the new D-brane content can be identified by studying certain decomposition properties of the shifted differential, together with the boundary product

and bilinear form. This can be discussed systematically in the language of category theory [15, 16].

2.6 Virtual dimensions and obstructions

The linearization⁹ of (2.39) and (2.28) is specified by:

$$d\phi = 0 \quad , \quad \phi \equiv \phi - d\alpha \quad . \quad (2.41)$$

Thus first order deformations are in one to one correspondence with elements of the cohomology group $H_d^1(\mathcal{H}) := \ker(d : \mathcal{H}^1 \rightarrow \mathcal{H}^2)/\text{im}(d : \mathcal{H}^0 \rightarrow \mathcal{H}^1)$. This gives the virtual dimension:

$$vdim_A \mathcal{M} := h_1 \quad , \quad (2.42)$$

where h_k denotes the dimension of $H_{d_A}^k(\mathcal{H})$. The approximation (2.41) need of course not suffice, and typically some infinitesimal deformations will be obstructed. Such obstructions lift some directions in $H_d^1(\mathcal{H})$, leading to a moduli space of dimension smaller than (2.42).

As in [24], our approach to obstructions will be to construct a function W (the holomorphic potential of Section 4), which is defined on the space $H_{d_A}^1(\mathcal{H})$ of *virtual* deformations (the ‘virtual tangent space’ to \mathcal{M} at A) and whose critical set gives a *local* description of the true moduli space after dividing out through some effective symmetries. From this perspective, the potential W is a tool for dealing with obstructions in a systematic manner.

2.7 Harmonic analysis of linearized zero modes

It is convenient to describe the space of virtual deformations through harmonic analysis. In this subsection, we develop the ingredients of such a description, which will be useful in later sections.

2.7.1 The Hermitian scalar product and conjugation operator

Let us pick a Riemannian metric g on the three-manifold L and Hermitian metrics on each of the bundles E_n , which induce a Hermitian metric on the direct sum $\mathbf{E} = \oplus_n E_n$. These metrics (which are arbitrarily chosen) will be kept fixed for what follows (the physics will be independent of all choices).

We first consider the Hermitian conjugation operator \dagger on sections of the bundle $\text{End}(\mathbf{E})$. This is involutive and antilinear, and inverts the grading Δ :

$$(f^\dagger)^\dagger = f \quad , \quad (\lambda f)^\dagger = \bar{\lambda} f^\dagger \quad , \quad \Delta(f^\dagger) = -\Delta(f) \quad , \quad (2.43)$$

⁹This is obtained by assuming that *both* ϕ and α are small, and keeping only the first order contributions.

for $f \in \Gamma(\text{End}(\mathbf{E}))$ and λ a complex-valued function on L . We also note the properties:

$$\text{str}(f^\dagger) = \overline{\text{str}(f)} \quad \text{and} \quad (f \circ g)^\dagger = g^\dagger \circ f^\dagger . \quad (2.44)$$

On the space $\Omega^*(L)$ of complex-valued forms, we have the *complex linear* Hodge operator $*$, which is involutive and satisfies:

$$rk(*\omega) = 3 - rk\omega , \quad (2.45)$$

with $\omega \in \Omega^*(L)$. If $(.,.)$ is the metric induced on $\Omega^*(L)$ by the Riemannian metric on L , then $*$ has the defining property:

$$(*\omega) \wedge \eta = (\omega, \eta) \text{vol}_g , \quad (2.46)$$

where vol_g is the volume form on L induced by g . Since $(\omega, \eta) = (\eta, \omega)$, this implies the identity:

$$(*\omega) \wedge \eta = (*\eta) \wedge \omega . \quad (2.47)$$

Viewing \mathbf{E} as a usual vector bundle (by forgetting the grading), we have a Hermitian scalar product:

$$h(u, v) = \int_L \text{tr}(*u^\dagger \wedge v) , \quad u, v \in \mathcal{H} , \quad (2.48)$$

which takes the following form on decomposable elements $u = \omega \otimes f$ and $v = \eta \otimes g$:

$$h(u, v) = \int_L \text{tr}(f^\dagger \circ g)(* \overline{\omega} \wedge \eta) . \quad (2.49)$$

Following [24], we look for an antilinear operator c on \mathcal{H} with the property:

$$h(u, v) = \langle cu, v \rangle = \int_L \text{str}[(cu) \bullet v] . \quad (2.50)$$

Since the bilinear form is non-degenerate, this condition determines c uniquely. Upon considering decomposable elements, it is not hard to check that:

$$c(\omega \otimes f) = (-1)^{n+\Delta(f)(1+rk\omega)}(*\overline{\omega}) \otimes f^\dagger , \quad \text{for } \omega \in \Omega^*(L) \quad \text{and} \quad f \in \text{Hom}(E_m, E_n) . \quad (2.51)$$

Note the sign factor $(-1)^n$ (which depends on the grade of the image of f). This is necessary in order to convert the trace in the definition of h to the graded trace appearing in relation (2.50). The operator c satisfies:

$$|cu| = 3 - |u| . \quad (2.52)$$

It is also easy to see¹⁰ that c is involutive (i.e. $c^2 = id$). The Hermitian metric and conjugation operator satisfy all requirements of the abstract framework discussed in [24].

¹⁰For this, one notices that $rk * \overline{\omega} = 3 - rk\omega$, $\Delta(f) = n - m$ and $f^\dagger \in \text{Hom}(E_n, E_m)$.

2.7.2 The deformed Laplacian and harmonic modes

For a linear operator B on \mathcal{H} , we let B^\dagger denote its Hermitian conjugate with respect to h . As shown in [24], the properties of c imply:

$$d^\dagger u = (-1)^{|u|} c d c u \quad \text{and} \quad \langle d^\dagger u, v \rangle = (-1)^{|u|} \langle u, d^\dagger v \rangle . \quad (2.53)$$

We also note that $|d^\dagger u| = |u| - 1$. Let us consider the ‘deformed Laplacian’¹¹:

$$\Delta := d^\dagger d + d d^\dagger , \quad (2.54)$$

which is a Hermitian, degree zero, elliptic differential operator of order two on $\mathcal{H} = \Gamma(\mathcal{V})$:

$$|\Delta u| = |u| , \quad \Delta^\dagger = \Delta . \quad (2.55)$$

Observation Given an element $\Phi \in \mathcal{H}$, such that $|\Phi|$ is *odd*, consider the operator:

$$A_\Phi u := [\Phi, u]_\bullet . \quad (2.56)$$

It is clear that A_Φ acts as an odd graded derivation of the total boundary product \bullet . It is also easy to check (upon using invariance of the bilinear form) that A_Φ acts as derivation of $\langle \cdot, \cdot \rangle$:

$$\langle A_\Phi u, v \rangle + (-1)^{|u|} \langle u, A_\Phi v \rangle = 0 . \quad (2.57)$$

Upon combining this with the definition of h and the property $c^2 = id$, one obtains that the Hermitian conjugate of A with respect to h has the form:

$$A_\Phi^\dagger u = (-1)^{|u|} c A_\Phi c u . \quad (2.58)$$

In particular, if $\phi \in \mathcal{H}^1$ is a shift of the background satisfying the equations of motion $d\phi + \phi \bullet \phi = 0$, then the shifted differential $d_\phi = d + [\phi, \cdot]_\bullet = d + A_\phi$ is again a degree one derivation of the boundary algebra (\mathcal{H}, \bullet) , whose Hermitian conjugate has the form:

$$d_\phi^\dagger u = d^\dagger u + A_\phi^\dagger u = d^\dagger u + (-1)^{|u|} c [\phi, c u] = (-1)^{|u|} c d_\phi c u . \quad (2.59)$$

This shows invariance of our formalism with respect to shifts of the string vacuum. In particular, all statements of this section apply to backgrounds A which need not be diagonal.

¹¹This should not be confused with the partial grading denoted by the same letter.

2.7.3 Hodge decomposition, invertibility properties and dualities on cohomology

As in [24], we consider the Hodge decomposition $\mathcal{H} = \text{Im}d \oplus \text{Im}d^\dagger \oplus K$, where:

$$K = \ker d \cap \ker d^\dagger = \ker \Delta \quad , \quad \mathcal{H} = K \oplus \text{Im}d \oplus \text{Im}d^\dagger \quad , \quad (2.60)$$

$$\ker d = K \oplus \text{Im}d \quad , \quad \ker d^\dagger = K \oplus \text{Im}d^\dagger, \quad (2.61)$$

and the propagator $U = \frac{1}{d}\pi_d = d^\dagger \frac{1}{H}(\pi_d + \pi_{d^\dagger}) = d^\dagger \frac{1}{H}\pi_d = \frac{1}{H}d^\dagger$ (with $H = d^\dagger d + dd^\dagger$) associated to the gauge $d^\dagger \phi = 0$, where π_d and π_{d^\dagger} are the orthogonal projectors on $\text{Im}d$ and $\text{Im}d^\dagger$. Physical states of our string field theory correspond to elements of K^1 (the subspace of degree one states lying in K):

$$H_d^1(\mathcal{H}) \approx K^1 \quad . \quad (2.62)$$

This isomorphism depends on the choice of metrics on L and \mathbf{E} .

The restriction of d to the orthogonal complement of its kernel maps $(\ker d)^\perp = \text{Im}d^\dagger$ onto $\text{Im}d$. This gives a bijection $d : \text{Im}d^\dagger \xrightarrow{\sim} \text{Im}d$. Since c maps $\text{Im}d$ into $\text{Im}d^\dagger$ and viceversa, it follows that cd and dc give automorphisms of the subspaces $\text{Im}d^\dagger$ and $\text{Im}d$, respectively. We also note that c preserves the subspace K , and intertwines its components according to:

$$c(K^j) = K^{3-j} \quad . \quad (2.63)$$

In particular, c induces an isomorphism between $H_d^j(\mathcal{H})$ and $H_d^{3-j}(\mathcal{H})$, which we will loosely call ‘Poincare duality’ (even though it is an isomorphism between two cohomology groups, rather than between homology and cohomology). On the other hand, the non-degenerate bilinear form $\langle \cdot, \cdot \rangle$ gives canonical (and metric-independent) isomorphisms:

$$H_d^{3-j}(\mathcal{H}) \approx H_d^j(\mathcal{H})^* \quad . \quad (2.64)$$

The isomorphism induced by c results from this upon composing with the identification induced by h between $H_d^j(\mathcal{H})$ and its dual.

3. Example: Topological D-brane pairs of unit relative grade in a scalar background

Consider a D-brane pair (a, b) such that $\phi_a := 0$ and $\phi_b = 1$. In this case, the underlying graded bundle is $\mathbf{E} = E_a \oplus E_b$, where E_a and E_b are the flat bundles underlying the D-branes. Throughout this section, we assume that the flat connections A_a and A_b are unitary with respect to some choice of Hermitian metrics on E_a and E_b , which we shall

use in order to build the metric h on \mathcal{H} . This assumption will be necessary for to arrive at a particularly simple form of the deformed Laplacian.

The space \mathcal{H}^k of degree k elements in \mathcal{H} is the space of sections of the bundle $\mathcal{V}^k = \Lambda^k(T^*L) \otimes \text{End}(E_a) \oplus \Lambda^k(T^*L) \otimes \text{End}(E_b) \oplus \Lambda^{k-1}(T^*L) \otimes \text{Hom}(E_a, E_b) \oplus \Lambda^{k+1}(T^*L) \otimes \text{Hom}(E_b, E_a)$. Its elements can be arranged as a matrix of bundle-valued forms:

$$u = \begin{bmatrix} u_k & u_{k+1} \\ u_{k-1} & \hat{u}_k \end{bmatrix} , \quad \text{for } |u| = k , \quad (3.1)$$

where the subscript denotes form rank and the bundle components of $u_k = u_{aa}$, $\hat{u}_k = u_{bb}$, $u_{k+1} = u_{ba}$ and $u_{k-1} = u_{ab}$ are morphisms from E_a to E_a , E_b to E_b , E_b to E_a and E_a to E_b respectively. With this convention, the boundary product agrees with matrix multiplication:

$$(u \bullet v)_{\alpha\beta} = u_{\alpha\gamma} \bullet v_{\gamma\beta} \quad (3.2)$$

for all $\alpha, \beta \in \{a, b\}$.

We are interested in backgrounds of the form:

$$\phi = \begin{bmatrix} 0 & 0 \\ \phi_0 & 0 \end{bmatrix} , \quad |\phi| = 1 , \quad (3.3)$$

where ϕ_0 is a zero-form valued in the bundle $\text{Hom}(E_a, E_b)$. In this case, the equations of motion $d\phi + \phi \bullet \phi = 0$ reduce to $d\phi_0 = 0$, which means that ϕ_0 is a covariantly-constant section of $\text{Hom}(E_a, E_b)$. Such backgrounds were also considered in [20], where we discussed acyclic composite formation under some (rather stringent) topological assumptions. In this section, we generalize that result by removing all such restrictions, and study other aspects of this system.

3.1 The deformed Laplacian

Let us find the Laplacian $\Delta_\phi = d_\phi d_\phi^\dagger + d_\phi^\dagger d_\phi$ in the background (3.3). It turns out that this operator has a particularly simple form for our class of backgrounds. To describe this, we define a *graded anticommutator* through:

$$\{u, v\}_\bullet = u \bullet v + (-1)^{|u||v|} v \bullet u \quad \text{for } u, v \in \mathcal{H} . \quad (3.4)$$

This quantity, which differs from the graded commutator $[u, v]_\bullet = u \bullet v - (-1)^{|u||v|} v \bullet u$ by the middle sign factor, has the graded *symmetry* property:

$$\{u, v\}_\bullet = (-1)^{|u||v|} \{v, u\}_\bullet . \quad (3.5)$$

It is shown in Appendix A that the deformed Laplacian can be written as ¹²:

$$\Delta_\phi u = \Delta u + \{[\phi, \phi^\dagger]_\bullet, u\}_\bullet, \quad (3.6)$$

where $\phi^\dagger = \begin{bmatrix} 0 & \phi_0^\dagger \\ 0 & 0 \end{bmatrix}$ is the Hermitian conjugate of ϕ . Note that $|\phi^\dagger| = -1$ and:

$$\begin{aligned} [\phi, \phi^\dagger]_\bullet &= \phi \bullet \phi^\dagger + \phi^\dagger \bullet \phi = \phi \circ \phi^\dagger + \phi^\dagger \circ \phi = \{\phi, \phi^\dagger\}, \\ \{[\phi, \phi^\dagger]_\bullet, u\}_\bullet &= [\phi, \phi^\dagger]_\bullet \bullet u + u \bullet [\phi, \phi^\dagger]_\bullet = \{\phi, \phi^\dagger\} \circ u + u \circ \{\phi, \phi^\dagger\}, \end{aligned} \quad (3.7)$$

where \circ denotes composition of fiber morphisms and $\{.,.\}$ is the usual anticommutator taken with respect to this composition. Consider the operator $A_\phi u := [\phi, u]_\bullet$. Then it is shown in Appendix A that $A_\phi^\dagger u = \{\phi^\dagger, u\}_\bullet$. It follows that the last term of (3.6) has the form:

$$\{[\phi, \phi^\dagger]_\bullet, u\}_\bullet = (A_\phi^\dagger A_\phi + A_\phi A_\phi^\dagger) u, \quad (3.8)$$

and thus Δ_ϕ is a sum of three non-negative operators:

$$\Delta_\phi = \Delta + A_\phi^\dagger A_\phi + A_\phi A_\phi^\dagger. \quad (3.9)$$

Upon noticing that $[\phi, \phi^\dagger]_\bullet = \begin{bmatrix} \phi_0^\dagger \circ \phi_0 & 0 \\ 0 & \phi_0 \circ \phi_0^\dagger \end{bmatrix}$, we use (3.6) to obtain the component form:

$$\Delta_\phi u = \begin{bmatrix} \Delta u_k + u_k \circ \phi_0^\dagger \circ \phi_0 + \phi_0^\dagger \circ \phi_0 \circ u_k & \Delta u_{k+1} + u_{k+1} \circ \phi_0 \circ \phi_0^\dagger + \phi_0^\dagger \circ \phi_0 \circ u_{k+1} \\ \Delta u_{k-1} + u_{k-1} \circ \phi_0^\dagger \circ \phi_0 + \phi_0 \circ \phi_0^\dagger \circ u_{k-1} & \Delta \hat{u}_k + \hat{u}_k \circ \phi_0 \circ \phi_0^\dagger + \phi_0 \circ \phi_0^\dagger \circ \hat{u}_k \end{bmatrix}, \quad (3.10)$$

where $u \in \mathcal{H}^k$ is an element of the form (3.1) and Δ acting on its components stands for the Laplacian on bundle-valued forms, coupled to the flat connection in the appropriate bundle.

3.2 Harmonic states

Let us look for harmonic elements of \mathcal{H} , i.e. solutions to the equation:

$$\Delta_\phi u = \Delta u + (A_\phi^\dagger A_\phi + A_\phi A_\phi^\dagger) u = 0. \quad (3.11)$$

Since each of the operators Δ , $A_\phi^\dagger A_\phi$ and $A_\phi A_\phi^\dagger$ is non-negative with respect to the Hermitian scalar product h , this equation is equivalent with:

$$\Delta u = 0, \quad A_\phi u = 0 \Leftrightarrow [\phi, u]_\bullet = 0, \quad A_\phi^\dagger u = 0 \Leftrightarrow \{\phi^\dagger, u\}_\bullet = 0. \quad (3.12)$$

¹²This expression for Δ_ϕ is only valid for the particular class of backgrounds considered in this section.

For an element u of worldsheet degree $|k|$ (equation (3.1)), the last two conditions take the form:

$$\begin{aligned}
u_{k-1} \circ \phi_0^\dagger &= \phi_0^\dagger \circ u_{k-1} = 0 \\
u_{k+1} \circ \phi_0 &= \phi_0 \circ u_{k+1} = 0 \\
\phi_0 \circ u_k &= \hat{u}_k \circ \phi_0 \\
\phi_0^\dagger \circ \hat{u}_k &= -u_k \circ \phi_0^\dagger .
\end{aligned} \tag{3.13}$$

Let $K(\phi_0) = \ker \phi_0$ and $I(\phi_0) = \text{im} \phi_0$ be the kernel and image of the bundle morphism $\phi_0 : E_a \rightarrow E_b$. $K(\phi_0)$ and $I(\phi_0)$ are subbundles of E_a and E_b respectively. We also consider the orthogonal complement $I^\perp(\phi_0)$ of $I(\phi_0)$ in E_b . Note that $\ker(\phi_0^\dagger) = I^\perp(\phi_0)$. The condition $d\phi_0 = 0$ can be used to check that these subbundles are preserved by covariant differentiation. This implies:

$$\begin{aligned}
d\Omega^*(L, \text{End}(K(\phi_0))) &\subset \Omega^{*+1}(L, \text{End}(K(\phi_0))) \\
d\Omega^*(L, \text{End}(I^\perp(\phi_0))) &\subset \Omega^{*+1}(L, \text{End}(I^\perp(\phi_0))) \\
d\Omega^*(L, \text{Hom}(K(\phi_0), I^\perp(\phi_0))) &\subset \Omega^{*+1}(L, \text{Hom}(K(\phi_0), I^\perp(\phi_0))) \\
d\Omega^*(L, \text{Hom}(I^\perp(\phi_0), K(\phi_0))) &\subset \Omega^{*+1}(L, \text{Hom}(I^\perp(\phi_0), K(\phi_0))) .
\end{aligned} \tag{3.14}$$

The first two equations in (3.13) amount to the requirement that the fiber components u_{k+1} and u_{k-1} reduce to morphisms between $K(\phi_0)$ and $I^\perp(\phi_0)$:

$$u_{k-1} \in \Omega^{k-1}(L, \text{Hom}(K(\phi_0), I^\perp(\phi_0))) \quad , \quad u_{k+1} \in \Omega^{k+1}(L, \text{Hom}(I^\perp(\phi_0), K(\phi_0))) . \tag{3.15}$$

To solve the last two conditions in (3.13), we multiply them to the left by ϕ_0^\dagger and ϕ_0 respectively and combine the results to obtain:

$$\phi_0^\dagger \phi_0 u_k + u_k \phi_0^\dagger \phi_0 = 0 \quad \text{and} \quad \phi_0 \phi_0^\dagger \hat{u}_k + \hat{u}_k \phi_0 \phi_0^\dagger = 0 . \tag{3.16}$$

Since both $\phi_0^\dagger \phi_0$ and $\phi_0 \phi_0^\dagger$ are non-negative operators, and since $\ker(\phi_0^\dagger \phi_0) = K(\phi_0)$ and $\ker(\phi_0 \phi_0^\dagger) = \ker(\phi_0^\dagger) = I^\perp(\phi_0)$, this is easily seen to imply:

$$u_k \in \Omega^k(L, \text{End}(K(\phi_0))) \quad \text{and} \quad \hat{u}_k \in \Omega^k(L, \text{End}(I^\perp(\phi_0))) . \tag{3.17}$$

Equations (3.15) and (3.17) mean that u is a section of the bundle $\Lambda^*(T^*L) \otimes \text{End}(K(\phi_0) \oplus I^\perp(\phi_0))$. Finally, the first equation in (3.12) requires that the components u_k, \hat{u}_k and u_{k-1}, u_{k+1} be harmonic. We conclude that the space of harmonic elements in worldsheet degree j is given by:

$$\begin{aligned}
K_\phi^j &= \Omega_{\text{harm}}^j(L, \text{End}(K(\phi_0))) \oplus \Omega_{\text{harm}}^j(L, \text{End}(I^\perp(\phi_0))) \oplus \\
&\quad \Omega_{\text{harm}}^{j-1}(L, \text{Hom}(K(\phi_0), I^\perp(\phi_0))) \oplus \Omega_{\text{harm}}^{j+1}(L, \text{Hom}(I^\perp(\phi_0), K(\phi_0))) .
\end{aligned} \tag{3.18}$$

This situation is described in figure 1.

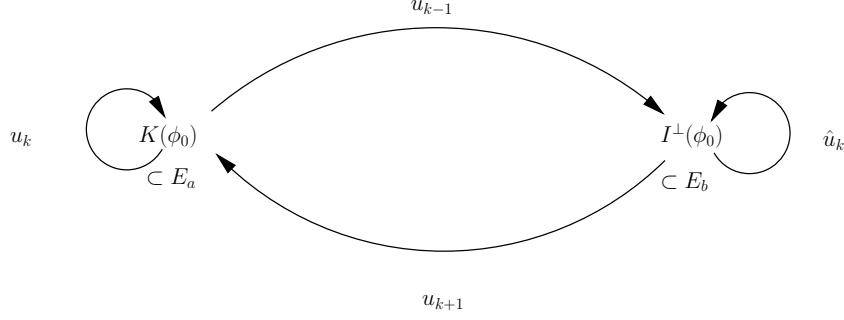


Figure 1: The bundle structure of harmonic states.

3.3 General construction of acyclic composites

A particularly interesting case is $r_a = r_b$, and $K(\phi_0) = 0$. In this situation, ϕ_0 is a flat isomorphism from E_a to E_b , i.e. an isomorphism of E_a and E_b as flat vector bundles. Then both $K(\phi_0)$ and $I^\perp(\phi_0)$ coincide with the zero vector bundle, and thus the space of zero modes K_ϕ^j vanishes for all j . It follows that such a background is *acyclic*, i.e. its worldsheet BRST cohomology $H_{d_\phi}^*(\mathcal{H})$ vanishes in all degrees. We obtain the following:

Proposition Suppose E_a and E_b are two flat vector bundles over a closed 3-manifold L . If E_a and E_b are isomorphic as flat bundles, and $\phi_0 : E_a \rightarrow E_b$ is a flat isomorphism, then the background ϕ_0 is acyclic.

A similar result was derived in [20] under (much) more restrictive assumptions. The proposition discussed above removes the limitations of the argument of [20]. This result *proves* that shifting the grade of a topological D-brane by one unit can be viewed as transforming the brane into its ‘topological antibrane’, irrespective of the topology of the cycle L . It also proves that topological brane-antibrane pairs of the A-model can annihilate at least in the large radius limit of a Calabi-Yau compactification.

3.4 Count of virtual zero modes

Hodge theory for d_ϕ gives isomorphisms $H_{d_\phi}^j(\mathcal{H}) = K^j$, so that $h_k := \dim_{\mathbb{C}} H^j(\mathcal{H}) = \dim_{\mathbb{C}} K^j$. On the other hand, Hodge theory on each of the subbundles involved in (3.18) relates the dimension of the associated space of harmonic forms to the corresponding cohomology. We obtain:

$$h_k = h_{d_{aa}}^k(L, \text{End}(K(\phi_0))) + h_{d_{bb}}^k(L, \text{End}(I^\perp(\phi_0))) + h_{d_{ab}}^{k-1}(L, \text{Hom}(K(\phi_0), I^\perp(\phi_0))) + h_{d_{ba}}^{k+1}(L, \text{Hom}(I^\perp(\phi_0), K(\phi_0))) \quad , \quad (3.19)$$

where we used the fact that the original flat connection A is a direct sum of connections A_a and A_b in the bundles E_a and E_b , and thus d reduces to the operator $d_{\alpha\beta}$ when restricted to $\Omega^*(L, \text{Hom}(E_\alpha, E_\beta))$. Here $d_{\alpha\beta}$ is the de Rham differential twisted by the connection induced on $\text{Hom}(E_\alpha, E_\beta)$ by A_α and A_β .

A particularly simple case arises when the flat connections A_α are the trivial (i.e. their holonomies are trivial around all one-cycles of L). In this case, one obtains:

$$h_k = b_k(L)[(rkK(\phi_0))^2 + (rkI^\perp(\phi_0))^2] + [b_{k-1}(L) + b_{k+1}(L)]rkK(\phi_0)rkI^\perp(\phi_0) \quad , \quad (3.20)$$

where $b_j(L)$ is the j -th Betti number of L (we define $b_j(L)$ to be zero unless $j = 0 \dots 3$). The rank theorem applied to ϕ_0 gives

$$rkI^\perp(\phi_0) = \delta + \Delta r \quad , \quad (3.21)$$

where $\delta = rkK(\phi_0)$ is the defect of ϕ_0 and $\Delta r := r_b - r_a$. Using $b_0(L) = 1$ and $b_{3-j}(L) = b_j(L)$, we obtain $h_j = (b_{j-1}(L) + 2b_j(L) + b_{j+1}(L))\delta(\delta + \Delta r) + b_j(L)(\Delta r)^2$, i.e.:

$$\begin{aligned} h_{-1} &= h_4 = \delta(\delta + \Delta r) \\ h_0 &= h_3 = (2 + b_1(L))h_{-1} + (\Delta r)^2 \\ h_1 &= h_2 = (1 + 3b_1(L))h_{-1} + b_1(L)(\Delta r)^2 \quad . \end{aligned} \quad (3.22)$$

Note the identities $h_{3-j} = h_j$, which follow from ‘Poincare duality’ for $H_{d_\phi}^*(\mathcal{H})$. In particular, h_{-1} and the virtual dimension h_1 are strictly increasing functions of δ . Moreover, it is clear that $h_{-1} = h_4$ determines δ uniquely:

$$\delta = \frac{1}{2}[\sqrt{(\Delta r)^2 + 4h_{-1}} - \Delta r] \quad . \quad (3.23)$$

The defect δ stratifies the moduli space in the directions accessible by turning on ϕ_0 . For the original background, one has $\phi_0 = 0$, so $\delta = r_a$ and the virtual dimension $h_1 = (1 + 3b_1(L))r_a r_b + b_1(L)(\Delta r)^2 = r_a r_b + b_1(L)(r_a^2 + r_b^2 + r_a r_b)$ are at their maximum values. As we vary ϕ_0 , we pass through strata of lower virtual dimension, according to the decreasing rank of its kernel. Since $rkI^\perp(\phi_0) = \delta + \Delta r \geq 0$, the minimal value of δ is $\max(0, -\Delta r)$, for which h_{-1} vanishes and h_1 attains its minimum, equal to $b_1(L)(\Delta r)^2$. This gives a total of $1 + \min(r_a, r_b)$ strata, whose virtual dimensions form the histogram in figure 2.

As an example, consider the case $r_a = r_b := r$, so $\Delta r = 0$ and $\delta \in \{0, \dots, r\}$. In this case, $h_1 = (1 + 3b_1(L))\delta^2$. For L a rational homology sphere, one has $b_1(L) = 0$ and $h_1 = \delta^2$. Thus the trivial $\phi_0 = 0$ lies in a component of virtual dimension equal to r^2 , while an invertible ϕ_0 is an isolated background (belongs to a component of vanishing

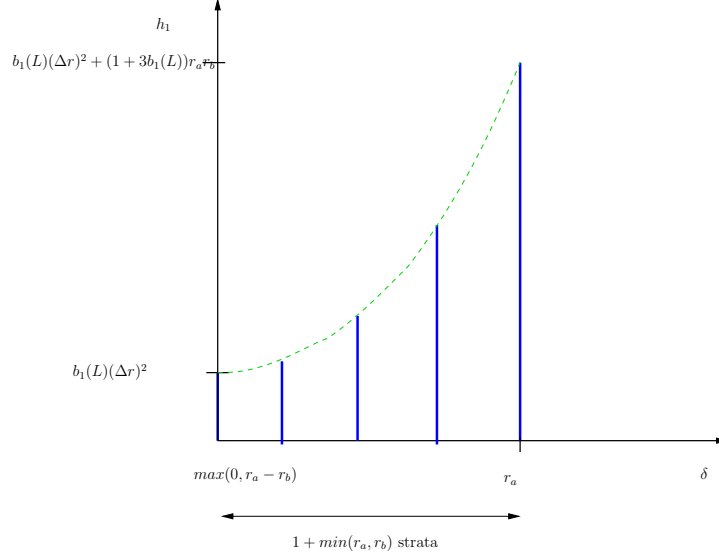


Figure 2: Virtual dimensions of the various strata.

virtual dimension); the latter describes the acyclic composite. For a torus $L = T^3$, one has $b_1(L) = 3$ and $h_1 = 10\delta^2$. For $r = 1$ (a pair of singly wrapped graded branes), one obtains two strata $\delta = 0, 1$, of virtual dimensions 0 and 10. The first stratum contains a single point, namely the acyclic composite. The second stratum results by condensation of spacetime fields associated with boundary condition changing states. As we shall see in Section 5, the correct picture of this stratum is quite different, due to the obstructed character of some deformations. A similar analysis, though much more complicated, can be given for deformations along ϕ_2 .

4. The partition function and the holomorphic potential

4.1 Introduction and physical interpretation

In this section, we give an alternate description of the moduli space \mathcal{M} in terms of a potential for the ‘low energy modes’ which is invariant under certain symmetries. Since the discussion is somewhat technical, we start with a short explanation of the origin of W and its physical meaning. Many ideas can be traced back to the work of [14].

To study the dynamics of moduli, one fixes a classical vacuum, to be taken as a starting point for the perturbation expansion. Such a vacuum is a degree one flat graded connection A in the graded bundle \mathbf{E} , i.e. a point in the classical moduli space \mathcal{M} . Fixing a vacuum leads to spontaneous symmetry breaking of the gauge group \mathcal{G} down to the subgroup G_A which stabilizes A . The quotient \mathcal{G}/G_A acts on A , producing

its gauge orbit \mathcal{O}_A . Fluctuations tangent to this orbit can be viewed as the Goldstone bosons of broken gauge invariance, while those ‘orthogonal’ to it can be divided into massless modes tangent to \mathcal{S} (which give moduli) and massive modes orthogonal to \mathcal{S} ¹³.

Consider the infinitesimal situation. A fluctuation $u \in \mathcal{H}^1$ around A satisfies the classical equations of motion if $d_A u = 0$, which means that u belongs to the tangent space $T_A \mathcal{S}$. This space can be identified with $(\ker d_A)^1 = \ker(d_A : \mathcal{H}^1 \rightarrow \mathcal{H}^2)$. Infinitesimal gauge transformations $A \rightarrow A - d_A \alpha$ (with $\alpha \in \mathcal{H}^0$) stabilize A if and only if:

$$d_A \alpha = 0 \quad . \quad (4.1)$$

The infinitesimal action is trivial if α belongs to the image of d_A . Hence the Lie algebra of G_A can be identified with the cohomology group $H_{d_A}^0(\mathcal{H})$ (endowed with the induced Lie bracket, which is well-defined by virtue of the derivation property of d_A). In particular, G_A is a *finite-dimensional* Lie group. As a vector space, the Lie algebra of \mathcal{G}/G_A can be identified with $\mathcal{H}^0/(\ker d_A)^0$. On the other hand, the space $T_A \mathcal{O}_A$ of Goldstone modes coincides with $(\text{im} d_A)^1 := \text{im}(d_A : \mathcal{H}^0 \rightarrow \mathcal{H}^1)$. The space of moduli is given by $(\ker d_A)^1/(\text{im} d_A)^1 = H_{d_A}^1(\mathcal{H})$, while ‘massive modes’ are described by $\mathcal{H}^1/(\ker d_A)^1$ (figure 3).

To eliminate the Goldstone modes, one must pick a gauge-fixing condition. We follow [24] by choosing the Lorenz gauge:

$$d_A^\dagger u = 0 \quad , \quad (4.2)$$

with d_A^\dagger defined as in Section 2. Using the Hodge decomposition $\mathcal{H} = \text{im} d_A^\dagger \oplus \text{im} d_A \oplus K_A$, we can identify the Goldstone modes with $(\text{im} d_A)^1$, the moduli with K_A^1 and the ‘massive modes’ with $[(\ker d_A)^1]^\perp = (\text{im} d_A^\dagger)^1$. We also identify the underlying vector spaces of the Lie algebras of G_A and \mathcal{G}/G_A with K^0 and $(\text{im} d_A)^0$. These identifications need not respect the product structure on \mathcal{H} , and therefore need not respect¹⁴ the Lie structure on \mathcal{H}^0 (in particular, the commutator of two elements of K^0 need not belong to K^0).

Moreover, the operator d_A^\dagger need *not* obey the usual property $d_A^\dagger \text{Ad}_g(u) = \text{Ad}_g(d_A^\dagger u)$ (for $g \in G_A$). Thus, the gauge condition (4.2) may not be invariant with respect to the adjoint action of G_A . As a result, this action generally mixes massive modes and moduli.

An effective description of moduli is obtained by integrating out all massive modes, which will produce a potential defined on the space K^1 of linearized deformations. The

¹³ \mathcal{S} was defined in Section 2.6.

¹⁴This follows from the basic observation that the product of two Harmonic forms may fail to be harmonic.

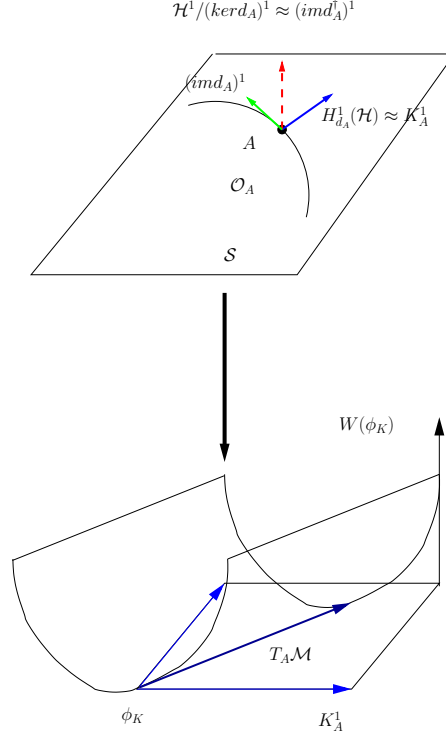


Figure 3: Massive, harmonic and Goldstone modes. After gauge fixing and integrating out the massive modes, one obtains a potential W for the harmonic modes, whose critical set characterizes the true moduli.

potential is defined formally as follows. Since the gauge condition (4.2) eliminates the Goldstone modes imd , it allows us to restrict to the subspace $(ker d^\dagger)^1 = (imd^\dagger)^1 \oplus K^1$. Upon decomposing $\phi \in (ker d^\dagger)^1$ as:

$$\phi = \phi_K \oplus \phi_M \quad \text{with} \quad \phi_K \in K^1 \quad \text{and} \quad \phi_M \in (imd^\dagger)^1, \quad (4.3)$$

we define an all-order potential for the massive modes through:

$$e^{-\frac{i}{\hbar} W_{full}(\phi_K)} = \int \mathcal{D}[\phi_M] e^{-\frac{i}{\hbar} S(\phi_K \oplus \phi_M)}. \quad (4.4)$$

This equation defines the potential to all loop orders. The potential is nontrivial due to the existence of cubic interactions between harmonic and ‘massive’ modes¹⁵:

$$\begin{aligned} S(\phi_K \oplus \phi_M) = & \frac{1}{2} \langle \phi_M, d\phi_M \rangle + \frac{1}{3} \langle \phi_M, \phi_M \bullet \phi_M \rangle \\ & + \langle \phi_K, \phi_M \bullet \phi_M \rangle + \langle \phi_M, \phi_K \bullet \phi_K \rangle + \frac{1}{3} \langle \phi_K, \phi_K \bullet \phi_K \rangle. \end{aligned} \quad (4.5)$$

¹⁵To reach this equation, we noticed that $\langle \phi_K, d\phi_M \rangle = \langle d\phi_K, \phi_M \rangle = 0$, since $d\phi_K = 0$.

Thus¹⁶:

$$e^{-\frac{i}{\hbar}W_{full}(\phi_K)} = e^{-\frac{i}{3\hbar}\langle\phi_K, \phi_K \bullet \phi_K\rangle} \int \mathcal{D}[\phi_M] e^{-\frac{i}{\hbar}S(\phi_M)} e^{-\frac{i}{\hbar}(\langle\phi_K, \phi_M \bullet \phi_M\rangle + \langle\phi_M, \phi_K \bullet \phi_K\rangle)} \quad , \quad (4.6)$$

which gives a perturbative series for W_{full} upon expanding the last exponential. This leads to Feynman graphs built out of the vertices and massive propagator depicted in figure 4. If W denotes the tree-level approximation to W_{full} , then its expansion has the form discussed in [24].

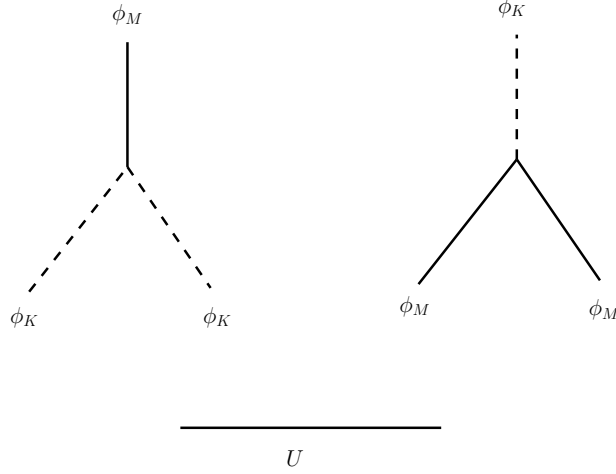


Figure 4: Physical vertices and propagator for the perturbative expansion of W . Dashed lines represent massless modes. Beyond tree level, the perturbation expansion also involves vertices and propagators for ghost and antighosts, which are not shown in the figure.

Since the adjoint action of G_A mixes moduli and massive modes, integrating out the later induces certain symmetries of W . The precise form of these symmetries can be determined directly from the potential. As in [24], this will give a local description of the moduli space as the quotient of the critical set of W through these symmetries.

4.2 On justifying the Lorenz gauge and the decoupling of ghosts in the tree-level potential

Our discussion of the potential was somewhat naive, since we did not attempt to give a complete treatment of the gauge-fixing procedure; in particular, we did not discuss the ghost/antighost contributions to the gauge-fixed action, and their role in the perturbative expansion of W ¹⁷.

¹⁶The path is normalized by $\int \mathcal{D}[\phi_M] e^{-\frac{i}{\hbar}S(\phi_M)} = 1$.

¹⁷The case of ungraded D-branes involves similar issues; in that situation, the direct approach of [24] is justified due to results of [36].

In fact, since the gauge algebra of the theory (2.17) is generally reducible, it is far from clear that the approach outlined above is indeed correct. For a rigorous treatment, one must *show* that (4.2) is a well-defined gauge-fixing condition, and understand the role of ghosts and antighosts in the perturbative expansion. A complete analysis of this issue requires the Batalin-Vilkovisky formalism and is performed in [32]. There it is showed that:

(1) The gauge-fixing condition defined by (4.2) is consistent, and results from an appropriate gauge-fixing fermion. In particular, the relevant propagators can be determined by the method of [24].

(2) The *tree-level* potential W receives no ghost/antighost contributions, and can be computed from Feynman diagrams involving only the ingredients shown in figure 4.

These conclusions result from a somewhat technical BV analysis of gauge-fixing, starting with the master action of our systems, which was constructed in [19] and further discussed in [20].

4.3 Expansion of the tree-level potential

The results of [32] assure us that we can use the gauge condition (4.2) and neglect the issue of ghost contributions, *as long as* we are interested in tree-level diagrams only. Since the algebraic framework of [24] is also satisfied (as showed in Section 2), we can apply the construction of that paper and carry over its results.

As explained in [24], tree-level amplitudes of massless states $u_1 \dots u_n \in K^1$ can be written in the form:

$$\langle \langle u_1 \dots u_n \rangle \rangle_{tree}^{(n)} = \langle u_1, r_{n-1}(u_2 \dots u_n) \rangle \quad , \quad (4.7)$$

where the scattering products $r_n : K^{\otimes n} \rightarrow K$ ($n \geq 2$) are recursively defined as follows:

1. We first define multilinear maps $\lambda_n : \mathcal{H}^n \rightarrow \mathcal{H}$ through $\lambda_2 = \bullet$ and the recursion relation:

$$\lambda_n(u_1, \dots, u_n) = (-1)^{n-1} (U\lambda_{n-1}(u_1, \dots, u_{n-1})) \bullet u_n - (-1)^{n|u_1|} u_1 \bullet (U\lambda_{n-1}(u_2, \dots, u_n)) - \sum_{\substack{k+l=n \\ k, l \geq 2}} (-1)^{k+(l-1)(|u_1|+\dots+|u_k|)} (U\lambda_k(u_1, \dots, u_k)) \bullet (U\lambda_l(u_{k+1}, \dots, u_n)) \quad , \quad (4.8)$$

for $u_1 \dots u_n$ in \mathcal{H} .

2. The products r_n are then given by:

$$r_n(u_1, \dots, u_n) = P\lambda_n(u_1, \dots, u_n) \quad , \quad (4.9)$$

for $u_1, \dots, u_n \in K$. Here P is the orthogonal projector on K . The propagator U was defined in Subsection 2.7.3.

This description follows from the tree-level Feynman diagrams associated with the expansion of W . Appendix B gives an alternate (but equivalent) justification, which follows from the JWKB approximation. As in [24], the tree-level potential can be expressed as:

$$W[\phi_K] = - \sum_{n \geq 3} \frac{1}{n} (-1)^{n(n-1)/2} \langle \langle \phi, \dots, \phi \rangle \rangle_{tree}^{(n)} \quad , \quad (4.10)$$

where the massless mode ϕ_K belongs to $K^1 = K \cap \mathcal{H}^1$.

As discussed in [24], the products (4.9) define an algebraic structure on \mathcal{H} known as an A_∞ -algebra. Since there is no first order product r_1 , this A_∞ algebra is *minimal*. Moreover, it is *quasi-isomorphic* with the differential graded algebra $(\mathcal{H}, d, \bullet)$, if the later is viewed as an A_∞ algebra whose higher products vanish. In particular, changing the metrics on L and E_n leads to A_∞ products which differ by quasi-isomorphisms; this amounts to a change of variables in the potential W . It can also be shown that r_n satisfy the cyclicity constraints:

$$\langle u_1, r_n(u_2 \dots u_{n+1}) \rangle = (-1)^{n(|u_2|+1)} \langle u_2, r_n(u_3 \dots u_{n+1}, u_1) \rangle \quad . \quad (4.11)$$

4.4 An alternate description of the moduli space

As in [24], the algebraic structure obeyed by r_n implies that the moduli space \mathcal{M} of (2.17) is locally isomorphic¹⁸ with a moduli space \mathcal{M}_W constructed from the potential as follows. Consider the critical point condition:

$$\frac{\partial W}{\partial \phi}(\phi) = 0 \Leftrightarrow \sum_{n \geq 2} (-1)^{n(n+1)/2} r_n(\phi^{\otimes n}) = 0 \quad , \quad (4.12)$$

which can also be written in the form:

$$\sum_{n \geq 2} \frac{(-1)^{n(n+1)/2}}{n!} m_n(\phi^{\otimes n}) = 0 \quad , \quad (4.13)$$

upon defining the new products:

$$m_n(u_1 \dots u_n) = \sum_{\sigma \in S_n} \chi(\sigma, u_1 \dots u_n) r_n(u_{\sigma(1)} \dots u_{\sigma(n)}) \quad , \quad (4.14)$$

¹⁸More precisely, the associated deformation functors are equivalent.

where $\chi(\sigma, u_1 \dots u_n)$ is the modified Koszul sign (see [24]). Equation (4.12) and the potential are invariant with respect to infinitesimal symmetries of the form:

$$\phi \rightarrow \phi' = \phi + \delta_\alpha \phi, \text{ with } \delta_\alpha \phi = - \sum_{n \geq 2} \frac{(-1)^{n(n-1)/2}}{(n-1)!} m_n(\alpha \otimes \phi^{\otimes n-1}) \quad , \quad (4.15)$$

where α is a degree zero element of K . Correspondingly, we define \mathcal{M}_W to be the moduli space of solutions to (4.12), modulo the identifications induced by transformations (4.15). It can be shown that the products (4.14) form an L_∞ algebra, the so-called *commutator algebra* of the A_∞ algebra (r_n) . In the formulation (4.13), (4.15), the moduli problem is sometimes known as a ‘homotopy Maurer-Cartan problem’ and was studied for example in [25] and [26]. We refer the reader to [24] for an overview of the relevant results.

Observations (1) In the case of ungraded A/B branes, the symmetries (4.15) close to a Lie algebra [24]. This follows from the property $d^\dagger(\alpha \bullet u) = \alpha \bullet d^\dagger u$ for $\alpha \in K^0$ and $u \in \mathcal{H}$, which holds in those models. In the graded case considered in the present paper, this property need not hold, and the generators of (4.15) may fail to form a Lie algebra. Nonetheless, the definition of \mathcal{M}_W can be formulated geometrically in the language of [25]. Since one may not be able to associate (4.15) with a Lie group action, one cannot always interpret W as a superpotential of a standard supersymmetric gauge theory which would describe the slow dynamics of graded D-branes. This is why we prefer the term ‘holomorphic potential’.

(2) The potential W can be viewed as a holomorphic function defined on $H_{d_A}^1(\mathcal{H})$. This follows upon choosing a basis e_j of the finite-dimensional vector space K^1 , and writing $\phi = \sum_{j=1}^b t_j e_j$, where t_j are the associated complex coordinates and b is the complex dimension of $K^1 \approx H_d^1(\text{End}(\mathbf{E}))$. Then W can be written in the form:

$$W(t) = \sum_{s_1 \dots s_b \geq 0} w_{s_1 \dots s_b} t_1^{s_1} \dots t_b^{s_b} \quad , \quad (4.16)$$

where $w_{s_1 \dots s_b}$ is given by a sum of expressions involving the value of the product $r_{s_1 + \dots + s_b}$ on the appropriate collections of basis vectors. Hence W becomes a (formal) power series in the complex coordinates t_j , and should induce a holomorphic function upon performing the required analysis of convergence. t_j can be viewed as local coordinates on the moduli space \mathcal{M} . W is holomorphic since we do not impose an (anti) self-adjointness condition on the string field ϕ .

5. Application to D-brane pairs on T^3

Let us consider the case of *trivial* flat bundles E_a and E_b on a 3-torus L , in a scalar background ϕ_0 (see eq. (3.3)). Upon trivializing E_a and E_b , we can view the components

of (3.1) as matrix-valued forms. Then the condition $d\phi_0 = 0$ means that ϕ_0 is a constant matrix. In this subsection, we discuss the holomorphic potential and effective symmetry group in this situation.

5.1 Preparations

For the purpose of gauge-fixing we must pick a metric on L , and we choose this to be the flat metric which makes it into an orthogonal torus with coordinates $x^j \in [0, 2\pi)$:

$$ds^2 = (dx^1)^2 + (dx^2)^2 + (dx^3)^2 . \quad (5.1)$$

Harmonic forms on L have constant coefficients in these coordinates:

$$\begin{aligned} \Omega_{\text{harm}}^0(L) &= \{\omega_0 | \omega_0 = ct\} , \\ \Omega_{\text{harm}}^1(L) &= \{\omega_1 dx^1 + \omega_2 dx^2 + \omega_3 dx^3 | \omega_j = ct\} , \\ \Omega_{\text{harm}}^2(L) &= \{\omega_{12} dx^1 \wedge dx^2 + \omega_{23} dx^2 \wedge dx^3 + \omega_{31} dx^3 \wedge dx^1 | \omega_{ij} = ct\} , \\ \Omega_{\text{harm}}^3(L) &= \{\omega_{123} dx^1 \wedge dx^2 \wedge dx^3 | \omega_{123} = ct\} . \end{aligned} \quad (5.2)$$

The Hodge operator acts through:

$$*(dx^{i_1} \wedge \dots \wedge dx^{i_k}) = \frac{1}{(3-k)!} \epsilon_{i_1 \dots i_3} dx^{i_{k+1}} \wedge \dots \wedge dx^{i_3} , \quad (5.3)$$

so that:

$$\begin{aligned} *1 &= dx^1 \wedge dx^2 \wedge dx^3 , \quad *(dx^1 \wedge dx^2 \wedge dx^3) = 1 , \\ *dx^1 &= dx^2 \wedge dx^3 , \quad *dx^2 = dx^3 \wedge dx^1 , \quad *dx^3 = dx^1 \wedge dx^2 , \\ *(dx^1 \wedge dx^2) &= dx^3 , \quad *(dx^2 \wedge dx^3) = dx^1 , \quad *(dx^3 \wedge dx^1) = dx^2 . \end{aligned} \quad (5.4)$$

Recall that $*^2 = id$ in three dimensions.

5.2 General analysis

As discussed above, harmonic elements of worldsheet degree k have the form (3.1), with the various components constrained to belong to the spaces listed in equation (3.18). Since in our case A_a and A_b are trivial connections, these subspaces decompose as:

$$\begin{aligned} \Omega_{d-\text{harm}}^k(L, \text{End}(K(\phi_0))) &= \Omega_{\text{harm}}^k(L) \otimes \Gamma(\text{End}(K(\phi_0))) \\ \Omega_{d-\text{harm}}^k(L, \text{End}(I^\perp(\phi_0))) &= \Omega_{\text{harm}}^k(L) \otimes \Gamma(\text{End}(I^\perp(\phi_0))) \\ \Omega_{d-\text{harm}}^{k-1}(L, \text{Hom}(K(\phi_0), I^\perp(\phi_0))) &= \Omega_{\text{harm}}^{k-1} \otimes \Gamma(\text{Hom}(K(\phi_0), I^\perp(\phi_0))) \\ \Omega_{d-\text{harm}}^{k+1}(L, \text{Hom}(I^\perp(\phi_0), K(\phi_0))) &= \Omega_{\text{harm}}^{k+1} \otimes \Gamma(\text{Hom}(I^\perp(\phi_0), K(\phi_0))) . \end{aligned} \quad (5.5)$$

When combined with knowledge of $\Omega_{harm}^k(L)$, this leads to a dramatic simplification. Indeed, it follows from (5.2) that the total space $\Omega_{harm}^*(L)$ of harmonic forms on L is closed with respect to the wedge product (i.e. the product of two harmonic forms on the three torus is also harmonic). On the other hand, it is clear that the subbundle $End(K(\phi_0) \oplus I^\perp(\phi_0))$ is closed with respect to fiberwise composition. It follows that the space $K^m = \ker \Delta_\phi$ of d_ϕ -harmonic elements of worldsheet degree m is closed with respect to the total boundary product \bullet . In particular, given two elements $u, v \in K$, we have $d_\phi^\dagger(u \bullet v) = 0$, and thus $U(u \bullet v) = 0$, where $U = \frac{1}{\Delta_\phi} d^\dagger$ is the propagator of the ‘massive’ modes. This implies that the products r_n (with $u_1..u_n \in K$) of Subsection 4.3 vanish for all $n \geq 3$. Therefore, the potential receives contributions only from its cubic term:

$$W(u) = \frac{1}{3} \langle u, r_2(u, u) \rangle = \frac{1}{3} \langle u, u \bullet u \rangle = \frac{1}{3} \int_L \text{str}_{End(K(\phi_0) \oplus I^\perp(\phi_0))} (u \bullet u \bullet u) \quad , \quad (5.6)$$

where $grade(K(\phi_0)) = grade E_a = 0$ and $grade(I^\perp(\phi_0)) = grade E_b = 1$ in the super-trace.

Moreover, the infinitesimal effective symmetries $\delta_\alpha u$ ($\alpha \in K^0, u \in K^1$) reduce to:

$$\delta_\alpha u = m_2(\alpha \otimes u) = [\alpha, u]_\bullet = ad_\alpha(u) \quad , \quad (5.7)$$

which integrate to the adjoint action of a group G on the subspace K^1 :

$$u \rightarrow e^{ad_\alpha} u \quad , \quad \text{for } \alpha \in K^0, u \in K^1 \quad . \quad (5.8)$$

G is the Lie group whose Lie algebra is $(K^0, [., .]_\bullet)$. It can be described as the group of elements $g \in K^0$ which are invertible with respect to the boundary product \bullet :

$$G = \{g \in K^0 | \text{there exists } g^{-1} \in K^0 \text{ such that } g \bullet g^{-1} = g^{-1} \bullet g = 1\} \quad . \quad (5.9)$$

The adjoint action (5.8) is then given by:

$$u \rightarrow g \bullet u \bullet g^{-1} \quad . \quad (5.10)$$

This form of the G -action results from the very simple form of Hodge theory on the torus, and of our choice of trivial background connections¹⁹.

The critical set of (5.6) is described by the equations:

$$\frac{\delta W}{\delta u} = 0 \Leftrightarrow u \bullet u = 0 \quad , \quad (5.11)$$

¹⁹A similar simplification appears for the ungraded case, though for different reasons[24].

which define a subset \mathcal{Z} of the space K^1 . The moduli space is locally described by the quotient \mathcal{Z}/G .

To compute W explicitly, we start with the form $u = \begin{bmatrix} u_1 & u_2 \\ u_0 & \hat{u}_1 \end{bmatrix}$ of degree one harmonic elements. Substituting in (5.6) gives:

$$W(u) = \int_L \text{tr}_{\text{End}(K(\phi_0))} [u_1^3 + 3u_1u_2u_0] - \int_L \text{tr}_{\text{End}(I^\perp(\phi_0))} [\hat{u}_1^3 + 3u_2\hat{u}_1u_0] \quad , \quad (5.12)$$

where juxtaposition stands for the wedge product and we used the cyclicity property of the trace.

Using the decompositions (5.5), we write:

$$\begin{aligned} u_1 &= dx^1 X_1 + dx^2 X_2 + dx^3 X_3 \\ \hat{u}_1 &= dx^1 Y_1 + dx^2 Y_2 + dx^3 Y_3 \\ u_2 &= dx^1 \wedge dx^2 Z_{12} + dx^2 \wedge dx^3 Z_{23} + dx^3 \wedge dx^1 Z_{31} \\ u_0 &= W \quad , \end{aligned} \quad (5.13)$$

where X_i, Y_i, Z_{ij} and W are *constant* sections of the bundles $\text{End}(K(\phi_0))$, $\text{End}(I^\perp(\phi_0))$, $\text{Hom}(I^\perp(\phi_0), K(\phi_0))$ and $\text{Hom}(K(\phi_0), I^\perp(\phi_0))$ respectively (since ϕ_0 is constant on L , all of these bundles are trivial, and thus X, Y, Z, W can be viewed as constant linear operators). With these notations, the potential (5.12) takes the form:

$$\begin{aligned} W(u) &= (2\pi)^3 \text{tr}_{\text{End}(K(\phi_0))} (X_1[X_2, X_3] + W(X_1Z_{23} + X_2Z_{31} + X_3Z_{12})) \\ &\quad - (2\pi)^3 \text{tr}_{\text{End}(I^\perp(\phi_0))} (Y_1[Y_2, Y_3] + W(Z_{23}Y_1 + Z_{31}Y_2 + Z_{12}Y_3)) \quad . \end{aligned} \quad (5.14)$$

while the critical point condition (5.11) reduces to:

$$\begin{aligned} Z_{12}W + [X_1, X_2] &= Z_{23}W + [X_2, X_3] = Z_{31}W + [X_3, X_1] = 0 \\ Z_{12}W + [Y_1, Y_2] &= Z_{23}W + [Y_2, Y_3] = Z_{31}W + [Y_3, Y_1] = 0 \\ WX_1 - Y_1W &= WX_2 - Y_2W = WX_3 - Y_3W = 0 \\ (X_1Z_{23} - Z_{23}Y_1) &+ (X_2Z_{31} - Z_{31}Y_2) + (X_3Z_{12} - Z_{12}Y_3) = 0 \quad . \end{aligned} \quad (5.15)$$

These equations define an algebraic variety \mathcal{Z} , which must be further divided by the action (5.10) to find the moduli space.

5.3 The case of singly-wrapped branes on T^3

Let us consider the case $r_a = r_b = 1$, with the trivial background $\phi = 0$. Then E_a and E_b are both given by the trivial flat line bundle \mathcal{O}_L , and the reference superconnection is

the trivial flat connection on $\mathbf{E} = \mathcal{O}_L^{\oplus 2}$. In this case, the component of \mathcal{H} of worldsheet degree k consists of elements:

$$v = \begin{bmatrix} v_k & v_{k+1} \\ v_{k-1} & \hat{v}_k \end{bmatrix} , \quad (5.16)$$

where the entries v_j are complex-valued forms on L . d_A is simply a direct sum of de Rham differentials.

5.3.1 The low energy symmetry group

Recall that G is the group of invertible elements of the associative algebra (K^0, \bullet) . Such elements have the form:

$$g = \begin{bmatrix} g_0 & g_1 \\ 0 & \hat{g}_0 \end{bmatrix} , \quad (5.17)$$

where g_0 and \hat{g}_0 are non-vanishing complex constants and g_1 is a one-form with constant complex coefficients. The group multiplication and inverse are given by:

$$g \bullet g' = \begin{bmatrix} g_0 g'_0 & g_0 g'_1 + \hat{g}'_0 g_1 \\ 0 & \hat{g}_0 \hat{g}'_0 \end{bmatrix} , \quad g^{-1} = \begin{bmatrix} g_0^{-1} & -\frac{1}{g_0 \hat{g}_0} g_1 \\ 0 & \hat{g}_0^{-1} \end{bmatrix} . \quad (5.18)$$

where juxtaposition denotes usual multiplication.

Those elements $g \in G$ which are close to the identity can be parameterized exponentially:

$$g = e_{\bullet}^{\alpha} := \sum_{n \geq 0} \frac{1}{n!} \alpha^{\bullet n} , \quad \alpha \in K^0 , \quad (5.19)$$

where $\alpha = \begin{bmatrix} \alpha_0 & \alpha_1 \\ 0 & \hat{\alpha}_0 \end{bmatrix}$, $\alpha^{\bullet 0} := id$ and $\alpha^{\bullet n}$ stands for the n -fold \bullet -product of α with itself.

The series converges because K^0 is finite-dimensional.

To compute e_{\bullet}^{α} , we first note that:

$$\alpha^{\bullet n} = \begin{bmatrix} \alpha_0^n (\sum_{\substack{i+j=n-1 \\ i,j \geq 0}} \alpha_0^i \hat{\alpha}_0^j) \alpha_1 \\ 0 & \hat{\alpha}_0^n \end{bmatrix} , \quad (5.20)$$

where juxtaposition stands for usual multiplication. Equation (5.20) follows by a simple induction argument. Upon using this result, we compute:

$$e_{\bullet}^{\alpha} = \begin{bmatrix} e^{\alpha_0} S \alpha_1 \\ 0 & e^{\hat{\alpha}_0} \end{bmatrix} , \quad (5.21)$$

where:

$$S := \sum_{n \geq 1} \frac{1}{n!} \sum_{\substack{i+j=n-1 \\ i, j \geq 0}} \alpha_0^i \hat{\alpha}_0^j . \quad (5.22)$$

To simplify this, we define $t := \frac{\alpha_0}{\hat{\alpha}_0}$ and (assuming $t \neq 1$) use the identity $\sum_{i=0}^{n-1} t^i = \frac{1-t^n}{1-t}$ to obtain:

$$S = \sum_{n \geq 1} \frac{\hat{\alpha}_0^n}{n!} \frac{1-t^n}{1-t} = \frac{1}{\hat{\alpha}_0 - \alpha_0} \sum_{n \geq 1} \frac{\hat{\alpha}_0^n - \alpha_0^n}{n!} = \frac{e^{\alpha_0} - e^{\hat{\alpha}_0}}{\alpha_0 - \hat{\alpha}_0} . \quad (5.23)$$

The final equality also holds for $\alpha \neq \alpha_0$, if the right hand side is interpreted as a limit:

$$S|_{\alpha_0=\hat{\alpha}_0} = \lim_{\hat{\alpha}_0 \rightarrow \alpha_0} \frac{e^{\alpha_0} - e^{\hat{\alpha}_0}}{\alpha_0 - \hat{\alpha}_0} = e^{\alpha_0} . \quad (5.24)$$

We conclude that:

$$e^\alpha_\bullet = \begin{bmatrix} e^{\alpha_0} & \frac{e^{\alpha_0} - e^{\hat{\alpha}_0}}{\alpha_0 - \hat{\alpha}_0} \alpha_1 \\ 0 & e^{\hat{\alpha}_0} \end{bmatrix} . \quad (5.25)$$

The group G contains an Abelian subgroup $T \approx \mathbf{C}^* \times \mathbf{C}^*$ which consists of elements of the form:

$$g = \begin{bmatrix} g_0 & 0 \\ 0 & \hat{g}^0 \end{bmatrix} = \begin{bmatrix} e^{\alpha_0} & 0 \\ 0 & e^{\hat{\alpha}_0} \end{bmatrix} . \quad (5.26)$$

On the other hand, elements of the type:

$$g = \begin{bmatrix} 1 & g_1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & \alpha_1 \\ 0 & 1 \end{bmatrix} \quad (g_1 = \alpha_1 \in \Omega_{harm}^1(L) \approx \mathbf{C}^3) \quad (5.27)$$

form an Abelian normal subgroup N which is isomorphic with the three-dimensional complex translation group $(\mathbf{C}^3, +)$. The group G can be viewed as a semi-direct product between T and N . Note the real form of G is not compact.

Given an element $u = \begin{bmatrix} u_1 & u_2 \\ u_0 & \hat{u}_1 \end{bmatrix} \in K^1$, G acts on it via its adjoint representation:

$$Ad_g(u) = g \bullet u \bullet g^{-1} = \begin{bmatrix} u_1 + \frac{u_0}{g_0} g_1 & \frac{g_0}{g_0} u_2 + \frac{g_1 \wedge (u_1 - \hat{u}_1)}{g_0} \\ \frac{\hat{g}_0}{g_0} u_0 & \hat{u}_1 + \frac{u_0}{g_0} g_1 \end{bmatrix} . \quad (5.28)$$

5.3.2 The potential and its critical variety

In the case under consideration, the matrices X_i, Y_i, Z_{ij} and W in equation (5.13) reduce to complex constants:

$$X_i := \xi_i \quad , \quad Y_i := \iota_i \quad , \quad Z_{ij} := \zeta_{ij} \quad , \quad W = \omega . \quad (5.29)$$

The holomorphic potential (5.14) becomes:

$$W(u) = (2\pi)^3 \omega [\zeta_{12}(\xi_3 - \iota_3) + \zeta_{23}(\xi_1 - \iota_1) + \zeta_{31}(\xi_2 - \iota_2)] \quad , \quad (5.30)$$

while equations (5.15) give:

$$\begin{aligned} u_0 u_2 = 0 &\Leftrightarrow \zeta_{12} \omega = \zeta_{23} \omega = \zeta_{31} \omega = 0 \\ u_0(u_1 - \hat{u}_1) = 0 &\Leftrightarrow \omega(\xi_1 - \iota_1) = \omega(\xi_2 - \iota_2) = \omega(\xi_3 - \iota_3) = 0 \\ u_2 \wedge (u_1 - \hat{u}_1) = 0 &\Leftrightarrow \zeta_{12}(\xi_3 - \iota_3) + \zeta_{23}(\xi_1 - \iota_1) + \zeta_{31}(\xi_2 - \iota_2) = 0 \quad . \end{aligned} \quad (5.31)$$

The variety $\mathcal{Z} \subset \mathbf{C}^{10}(\xi_i, \iota_i, \zeta_{ij}, \omega)$ defined by these conditions has two irreducible components \mathcal{Z}_1 and \mathcal{Z}_2 described by the equations:

$$\mathcal{Z}_1 : \quad u_0 = 0 \Leftrightarrow \omega = 0 \quad , \quad u_2 \wedge (u_1 - \hat{u}_1) = 0 \Leftrightarrow \zeta_{12}(\xi_3 - \iota_3) + \zeta_{23}(\xi_1 - \iota_1) + \zeta_{31}(\xi_2 - \iota_2) = 0 \quad . \quad (5.32)$$

and:

$$\mathcal{Z}_2 : \quad u_2 = 0 \Leftrightarrow \zeta_{ij} = 0 \quad , \quad u_1 = \hat{u}_1 \Leftrightarrow \xi_i = \iota_i \quad (5.33)$$

It is clear that \mathcal{Z}_1 and \mathcal{Z}_2 have complex dimension 8 and 4 respectively. These varieties intersect along the 3-dimensional locus:

$$\mathcal{Z}_3 = \mathcal{Z}_1 \cap \mathcal{Z}_2 : u_0 = u_2 = 0 \quad , \quad u_1 = \hat{u}_1 \quad , \quad (5.34)$$

which is parameterized by ξ_i . In fact, \mathcal{Z}_2 is a copy of \mathbf{C}^4 , while \mathcal{Z}_1 is a singular quadric which can be viewed as a fibration over \mathbf{C}^6 via the map $\pi : (u_1, \hat{u}_1, u_2) \rightarrow (u_1, \hat{u}_1)$. The generic fiber is a copy of \mathbf{C}^2 , described by the equation $u_2 \wedge (u_1 - \hat{u}_1) = 0$ for $u_1 - \hat{u}_1 \neq 0$. Upon defining $q_i := \xi_i - \iota_i$ and²⁰ $\zeta_i := \frac{1}{2} \epsilon_{ijk} \zeta_{jk}$, this condition becomes:

$$\zeta \cdot \mathbf{q} = 0 \quad , \quad (5.35)$$

where ζ and \mathbf{q} are complex vectors of components ζ_i and q_i , and \cdot is the natural complex-bilinear product in \mathbf{C}^3 (namely $\mathbf{q} \cdot \mathbf{b} = a_i b_i$). The \mathbf{C}^2 fiber degenerates above the discriminant locus $\Delta \subset \mathbf{C}^6$ defined by the equations $\mathbf{q} = 0 \Leftrightarrow u_1 = \hat{u}_1$ (the diagonal in the product $\mathbf{C}^6 = \mathbf{C}^3(u_1) \times \mathbf{C}^3(\hat{u}_1)$), where it becomes a copy of \mathbf{C}^3 . The variety \mathcal{Z}_1 is singular along the zero section of the resulting \mathbf{C}^3 -bundle, which coincides with the intersection $\mathcal{Z}_3 = \mathcal{Z}_1 \cap \mathcal{Z}_2$. This intersection is a copy of \mathbf{C}^3 , sitting above Δ .

²⁰We let $\zeta_{ji} := \zeta_{ij}$ for $j > i$, so that $\zeta_1 = \zeta_{12}$ etc. Then $\zeta = \zeta_1 dx^2 \wedge dx^3 + \zeta_2 dx^3 \wedge dx^1 + \zeta_3 dx^1 \wedge dx^2$.

5.3.3 The moduli space

Using (5.28), it is easy to check that the adjoint action of G preserves each of the components \mathcal{Z}_1 and \mathcal{Z}_2 , on which it reduces to the forms:

$$\begin{aligned} Ad_g \begin{bmatrix} u_1 & u_2 \\ 0 & \hat{u}_1 \end{bmatrix} &= \begin{bmatrix} u_1 & \frac{g_0}{\hat{g}_0} u_2 + \frac{g_1 \wedge (u_1 - \hat{u}_1)}{g_0} \\ 0 & \hat{u}_1 \end{bmatrix} , \text{ on } \mathcal{Z}_1 , \\ Ad_g \begin{bmatrix} u_1 & 0 \\ u_0 & u_1 \end{bmatrix} &= \begin{bmatrix} u_1 + \frac{u_0}{g_0} g_1 & 0 \\ \frac{\hat{g}_0}{g_0} u_0 & u_1 + \frac{u_0}{g_0} g_1 \end{bmatrix} , \text{ on } \mathcal{Z}_2 , \\ Ad_g &= id , \text{ on } \mathcal{Z}_3 . \end{aligned} \quad (5.36)$$

The diagonal subgroup $T_d := \left\{ \begin{bmatrix} g_0 & 0 \\ 0 & g_0 \end{bmatrix} \mid g_0 \in \mathbf{C}^* \right\} \subset T$ acts trivially on \mathcal{Z}_2 , while the action of G/T_d is transitive and fixed-point free on $\mathcal{Z}_2 - \mathcal{Z}_3$. It follows that the quotient \mathcal{M}_0 of $\mathcal{Z}_2 - \mathcal{Z}_3$ by G/T_d is simply a point, which we denote by p :

$$\mathcal{M}_0 = \{p\} . \quad (5.37)$$

It is also easy to see that G acts only along the fibers of the fibration $\mathcal{Z}_1 \xrightarrow{\pi} \mathbf{C}^3(u_1) \times \mathbf{C}^3(\hat{u}_1)$. To understand this action, let $g_1 = G_i dx^i$ and consider the complex vector $\mathbf{g} := (G_1, G_2, G_3)$. Using the notations in equation (5.35), the group action on a fiber which does not sit above Δ leaves u_1 and \hat{u}_1 unchanged, and modifies ζ (and thus u_2) according to Figure 5:

$$\zeta \rightarrow \zeta' = \frac{g_0}{\hat{g}_0} \zeta + \frac{1}{g_0} \mathbf{g} \times \mathbf{q} . \quad (5.38)$$

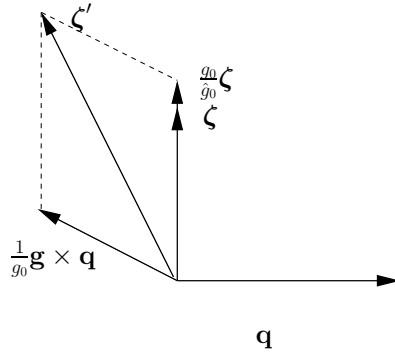


Figure 5: Action of G along the generic (i.e. \mathbf{C}^2) fibers of \mathcal{Z}_1 . The vector $\mathbf{g} = (G_1, G_2, G_3)$ is defined by the components G_i of the one-form $g_1 = G_i dx^i$.

The two-dimensional subgroup G_1 consisting of elements of the form:

$$g = \begin{bmatrix} g_0 & \alpha q_1 \\ 0 & g_0 \end{bmatrix}, g_0 \in \mathbf{C}^*, \alpha \in \mathbf{C} \quad (5.39)$$

acts trivially on the \mathbf{C}^2 -fibers, while G/G_1 acts transitively²¹. Thus the quotient of $\mathcal{Z}_1 - \pi^{-1}(\Delta)$ by G/G_1 is (topologically) a copy of $\mathbf{C}^3(u_1) \times \mathbf{C}^3(\hat{u}_1) - \Delta$. On the \mathbf{C}^3 fibers, the action of G reduces to the standard \mathbf{C}^* action by homotheties (the rescaling $u_2 \rightarrow \lambda u_2, \lambda \in \mathbf{C}^*$). Hence the quotient of $\pi^{-1}(\Delta) - \mathcal{Z}_3$ is a \mathbb{P}^2 -bundle above Δ .

Finally, we have $\mathcal{Z}_3 \approx \Delta \approx \mathbf{C}^3$, on which G acts trivially. This simply gives a copy of Δ . Putting these pieces together, we obtain a copy \mathcal{M}_1 of $\mathbf{C}^6 = \mathbf{C}^3(u_1) \times \mathbf{C}^3(\hat{u}_1)$, and a \mathbb{P}^2 -fibration over Δ which we denote by \mathcal{M}_2 .

Summarizing, the moduli space \mathcal{M} consists of three components: $\mathcal{M}_0 = \{p\}$, $\mathcal{M}_1 = \mathbf{C}^3(u_1) \times \mathbf{C}^3(\hat{u}_1)$ and \mathcal{M}_2 , which is a \mathbb{P}^2 -fibration over the diagonal Δ of \mathcal{M}_1 . By the discussion above, configurations in \mathcal{M}_1 admit representatives of the form $u = \begin{bmatrix} u_1 & 0 \\ 0 & \hat{u}_1 \end{bmatrix}$, and thus correspond (up to a gauge transformation) to deformations of the diagonal components of the original background; this generates the moduli space of flat connections A_a and A_b on the two original D-branes, and corresponds to deforming them independently without condensing boundary condition changing fields. Points of \mathcal{M}_2 admit representatives of the form $u = \begin{bmatrix} u_1 & u_2 \\ 0 & u_1 \end{bmatrix}$ (with u_2 determined up to a rescaling), and correspond to moduli obtained by condensation of the two-form, starting from a diagonal background with equal connections $A_a = A_b$. The effect of turning on u_2 is to blow up the diagonal Δ in the product $\mathcal{M}_1 = \mathbf{C}^3(u_1) \times \mathbf{C}^3(\hat{u}_1)$ (indeed, $(\mathcal{M}_1 - \Delta) \cup \mathcal{M}_2$ coincides with the blow-up of \mathcal{M}_1 along its diagonal). Finally, configurations associated with \mathcal{M}_0 can be gauge-transformed to the form $u = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$, and correspond to turning on u_0 . As discussed above, this produces an acyclic composite of the two D-branes, thereby leading to the isolated point p of the moduli space. This situation is described in Figure 6.

5.4 Fine structure of the moduli space for unit defect

Consider the case $r_a = r_b = n + 1$ and $d = 1$. In this situation, we can choose bases for

²¹Every ζ is stabilized by elements of the form $g = \begin{bmatrix} g_0 & \frac{g_0(g_0^2-1)}{q_1^2} \\ 0 & g_0^{-1} \end{bmatrix}$ (with $g_0^2 \neq 1$), which form a one-dimensional subgroup of G/G_1 .

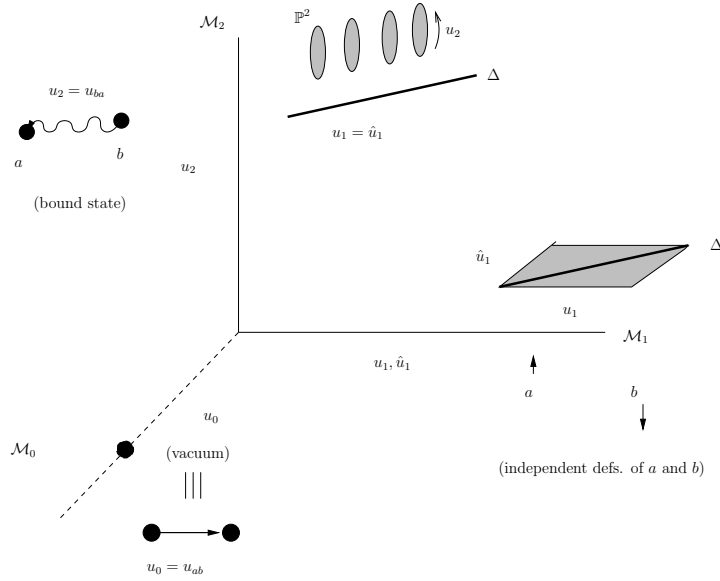


Figure 6: The local structure of the moduli space.

the fibers of E_a and E_b such that ϕ_0 has the form:

$$\phi_0 = \begin{bmatrix} 0 & 0_n^T \\ 0_n & \mathbb{1}_{n \times n} \end{bmatrix}, \quad (5.40)$$

where 0_n is a column vector with vanishing entries and 0_n^T is its transpose. In this case $K(\phi^0)$ and $I^\perp(\phi_0)$ are both represented by vectors of the form $\begin{bmatrix} \xi \\ 0_n \end{bmatrix}$. Then the matrices X_i, Y_j, Z_{ij} and W have the forms:

$$X_i = \begin{bmatrix} \xi_i & 0_n^T \\ 0_n & 0_{n \times n} \end{bmatrix}, \quad Y_i = \begin{bmatrix} \iota_i & 0_n^T \\ 0_n & 0_{n \times n} \end{bmatrix}, \quad Z_{ij} = \begin{bmatrix} \zeta_{ij} & 0_n^T \\ 0_n & 0_{n \times n} \end{bmatrix}, \quad W = \begin{bmatrix} \omega & 0_n^T \\ 0_n & 0_{n \times n} \end{bmatrix}, \quad (5.41)$$

with $\xi_i, \iota_i, \zeta_{ij}$ and ω some complex constants. Inserting them in equation (5.14) or directly using (5.12) we find that this choice of ϕ_0 can be described equally well using the matrix-valued form

$$u = \begin{bmatrix} u_1 & u_2 \\ u_0 & \hat{u}_1 \end{bmatrix} \in [\Omega^*(L, End(K(\phi_0) \oplus I^\perp(\phi_0))]^1 \quad , \quad (5.42)$$

with:

$$\begin{aligned} u_1 &= \xi_i dx^i \quad , \quad \hat{u}_1 = \iota_i dx^i \quad , \quad u_0 = \omega \\ u_2 &= \zeta_{12} dx^1 \wedge dx^2 + \zeta_{23} dx^2 \wedge dx^3 + \zeta_{31} dx^3 \wedge dx^1 \quad . \end{aligned} \quad (5.43)$$

This observation reduces the analysis to the case considered in the previous section. Indeed, the holomorphic potential takes the form (5.30) and consequently, the stratum corresponding to $d = 1$ in the moduli space of graded D-branes with unit relative grading and equal rank bundles, wrapping special Lagrangian tori has the structure described in Figure 6. Turning on $u_0 = \omega$ leads to formation of acyclic composites and disappearance of open string states from the theory. This is not unexpected since by turning on u_0 corresponds to a shift the background to the case of $d = 0$ and, as we showed in section 3.3, this leads to acyclic composites.

6. Conclusions

We discussed deformations of systems of graded topological D-branes. Upon considering the associated string field theory, we constructed a physically motivated quantity which generalizes the holomorphic potential of [4, 6, 24] and showed that it leads to an equivalent description of the deformation problem, which is often more efficient from a computational point of view. Upon applying these methods to topological D-brane pairs of unit relative grade, we gave a general proof that scalar condensation in such systems leads to acyclic composites in the case when the underlying flat connections are equivalent. For the case of singly-wrapped branes on 3-tori, we gave an explicit local construction of the moduli space, confirming the existence of a branch parameterized by a two-form. This shows that such condensation processes are not (completely) obstructed at the topological level, and underscores the need for a deeper understanding of their role in the construction of topological D-brane categories and their subcategories of stable D-branes. While our discussion has been limited to the large radius limit of the A-model²², it is clear that a similar analysis goes through for the B-model case. In that situation, one obtains a holomorphic potential which allows for an explicit description of deformation problems in the derived category.

The study of deformations of D-brane composites is of crucial importance for the program of [1] and for gaining a better understanding of the extended moduli space of open strings. The point of view adopted in this paper follows the approach of [15, 16, 19] by retreating to the underlying string field theory, which allows for a standard description of deformations in terms of Maurer-Cartan equations. For both the A and B models, it is possible to pass from this description to one in terms of triangulated categories, upon dividing through quasi-isomorphisms (this amounts to keeping only the data which is invariant under infinitesimal canonical transformations in the BV

²²Our reason for considering the A-model is that the underlying geometry is *more* complicated in this case—due to the nontrivial topology of special Lagrangian 3-cycles. The B-model is conceptually simpler.

formalism, and is in many ways only a ‘local’ description). The latter point of view does not seem to allow for a direct formulation of the deformation problem. For example, it is not immediately clear how one can define deformations of an object in the derived category of coherent sheaves²³ (the relevant triangulated category for the B-model), which is proposed as a description of B-model topological D-brane physics. One of the major virtues of the string field theory approach is that it allows for an entirely natural formulation of deformations, in a language which is both physically and mathematically well-established. This description can be carried over to the derived category, *provided* that one endows the latter with the extra datum induced by the A_∞ products of Section 4. In fact, it seems that any description of the deformation problem at the derived category level must consider such supplementary input. This vindicates the point of view (advocated in [31]) that the correct object of study is an *enhanced* version of the derived category, which ‘remembers’ the string field theoretic data. One could as well study the topological string field theory itself.

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A. The deformed Laplacian for a D-brane pair in a scalar background

Consider a graded D-brane pair (of unit relative grade) in a scalar background, as in section 3. As in Section 3, we assume that the background flat connections on the

²³It is of course trivial to define virtual, or infinitesimal, deformations by considering *Ext* groups. However, one expects such deformations to be obstructed, and it is not apriori clear how to describe the relevant obstructions – knowledge of virtual deformations tells us little about the true moduli space of an object. In our approach, the effect of obstructions is described by the potential W , which carries over to the derived category. It is only through this remnant of the original, string field description, that one knows how to describe true deformations at the derived category level.

bundles E_a and E_b are unitary with respect to the auxiliary metrics carried by these bundles. With these assumptions, we prove the relation:

$$\Delta_\phi u = \Delta u + \{[\phi, \phi^\dagger]_\bullet, u\}_\bullet, \quad (\text{A.1})$$

which was used in Section 3.1. We shall prove (A.1) in a three steps:

(1) First, we notice that:

$$c[\phi, u]_\bullet = \{cu, \phi^\dagger\}_\bullet, \quad \text{for all } u \in \mathcal{H}. \quad (\text{A.2})$$

This relation follows directly from the definition of c and \bullet and relations such as:

$$\begin{aligned} *(\phi \bullet u)^\dagger &= (-1)^{rku} *(\phi \circ u)^\dagger = (-1)^{rku}(*u^\dagger) \circ \phi^\dagger = (-1)^{rku}(*u^\dagger) \bullet \phi^\dagger \\ *(u \bullet \phi)^\dagger &= *(u \circ \phi)^\dagger = \phi^\dagger \circ (*u^\dagger) = (-1)^{rku+1} \phi^\dagger \bullet (*u^\dagger), \end{aligned} \quad (\text{A.3})$$

which make use of the fact that the only non-vanishing component of ϕ is a zero-form.

(2) Using (A.2), we compute:

$$d_\phi^\dagger u = (-1)^{|u|} c d_\phi cu = d^\dagger u + (-1)^{|u|} c[\phi, cu]_\bullet = d^\dagger u + \{\phi^\dagger, u\}_\bullet. \quad (\text{A.4})$$

In particular, the adjoint of the operator $A_\phi u = [\phi, u]_\bullet$ is $A_\phi^\dagger u = \{\phi^\dagger, u\}_\bullet$.

(3) We have:

$$\begin{aligned} d_\phi^\dagger d_\phi u &= d^\dagger du + d^\dagger[\phi, u]_\bullet + \{\phi^\dagger, du\}_\bullet + \{\phi^\dagger, [\phi, u]_\bullet\}_\bullet \\ d_\phi d_\phi^\dagger u &= dd^\dagger u + [\phi, d^\dagger u]_\bullet + d\{\phi^\dagger, u\}_\bullet + [\phi, \{\phi^\dagger, u\}_\bullet]_\bullet, \end{aligned} \quad (\text{A.5})$$

and:

$$d^\dagger[\phi, u]_\bullet = -[\phi, d^\dagger u]_\bullet, \quad d\{\phi^\dagger, u\}_\bullet = -\{\phi^\dagger, du\}_\bullet. \quad (\text{A.6})$$

(these relations use the assumption that A_a and A_b are unitary connections).

Hence adding the two equations in (A.5) gives:

$$\Delta_\phi u = \Delta u + \{\phi^\dagger, [\phi, u]_\bullet\}_\bullet + [\phi, \{\phi^\dagger, u\}_\bullet]_\bullet = \Delta u + \{[\phi, \phi^\dagger]_\bullet, u\}_\bullet. \quad (\text{A.7})$$

This establishes (3.6).

B. Another approach to the tree level potential

This appendix gives an alternate derivation of the potential of Section 4. This is a textbook exercise [39], but it is instructive to see how the potential arises from standard field theory techniques. There are two equivalent methods for constructing the tree-level potential: the Feynman diagram expansion of Section 4 and the JWKB approximation. Here we describe the second approach.

The gauge fixing condition (4.2) implies that the field ϕ belongs to the subspace $(im d_A^\dagger \oplus K_A) \cap \mathcal{H}^1$. Since we wish to integrate out modes belonging to $(im d_A^\dagger)^1$, we split²⁴ ϕ into a “background part” $\phi_K \in K_A^1$ and a “quantum part” $\psi \in (im d_A^\dagger)^1$. With this decomposition, the classical action (2.17) takes the form:

$$S(\phi) = S(\phi_K + \psi) = S_0(\psi) + gS_I(\phi_K + \psi) \quad (\text{B.1})$$

where S_0, S_I are the quadratic and cubic terms. We have artificially introduced an adiabatic parameter g . We will set $g = 1$ at the end.

The partition function computes the potential for ϕ_K :

$$Z[\phi_K] = \int \mathcal{D}\psi e^{-\frac{i}{\hbar}(S_0(\psi) + gS_I(\phi_K + \psi))} = e^{-\frac{i}{\hbar}gW_{full}(\phi_K)} \quad (\text{B.2})$$

At this stage one can use the saddle point approximation to express the tree-level part W of W_{full} as the classical action S evaluated on a solution $\phi_{cl}(\phi_K) = \phi_K + \psi_{cl}(\phi_K)$ to the classical equation of motion $d\phi_{cl} + g\phi_{cl} \bullet \phi_{cl} = 0$ which has the property that ψ_{cl} vanishes in the adiabatic limit $g = 0$. Given such a solution, one has $\langle \phi_{cl}, \phi_{cl} \bullet \phi_{cl} \rangle = -\langle \phi_{cl}, d\phi_{cl} \rangle$ (for $g = 1$), so that:

$$W(\phi_K) = S(\phi_{cl}) = \frac{1}{6} \langle \phi_{cl}, d\phi_{cl} \rangle = \frac{1}{6} \langle \psi_{cl}, d\psi_{cl} \rangle \quad (\text{B.3})$$

where we used invariance of the bilinear form $\langle \cdot, \cdot \rangle$ with respect to the differential d and the fact that $d\phi_K = 0$. This relation is somewhat inconvenient for the computation of W , since its adiabatic expansion will involve a double sum.

To avoid this problem, one can proceed by constructing the quantity:

$$-\frac{i}{\hbar} \frac{\delta}{\delta \phi_K} W_{full}(\phi_K) = \frac{1}{gZ[\phi_K]} \frac{\delta}{\delta \phi_K} Z[\phi_K] \quad (\text{B.4})$$

where we use the convention that the functional derivative of a functional $F[\phi]$ is defined through:

$$\delta F[\phi] = \langle \delta \phi, \frac{\delta F}{\delta \phi} \rangle = \int_L str \left[\delta \phi(x) \bullet \frac{\delta F}{\delta \phi(x)} \right] \quad (\text{B.5})$$

²⁴We emphasize that, because of our choice of background, this is *not* the standard splitting one uses in the background field formalism.

Equation (4.6) gives:

$$\frac{\delta}{\delta\phi_K} W_{full}(\phi_K) = P\left(\phi_K \bullet \phi_K + \langle\psi\rangle_{\phi_K} \bullet \phi_K + \phi_K \bullet \langle\psi\rangle_{\phi_K} + \langle\psi \bullet \psi\rangle_{\phi_K}\right) \quad (\text{B.6})$$

where the expectation value of a functional $f[\psi(x)]$ in the background ϕ_K is defined through:

$$\langle f[\psi(x)] \rangle_{\phi_K} = \frac{1}{Z[\phi_K]} \int \mathcal{D}\psi \, f[\psi(x)] \, e^{-\frac{i}{\hbar}(S_0(\psi) + gS_I(\phi_K + \psi))} \quad (\text{B.7})$$

and P is the orthogonal projector on K , as introduced in Section 4.3.

Equation (B.6) is valid to all loop orders. To isolate the tree level part W , we use the saddle point approximation which tells us that the tree-level contribution to $\langle\psi(x)\rangle_{\phi_K}$ and $\langle\psi(x) \bullet \psi(x)\rangle_{\phi_K}$ is given by the solution ψ_{cl} to the classical equation of motion which vanishes in the limit of vanishing g . It is much easier to compute W using this approach than from equation (B.3).

To solve the classical equation of motion, we write ψ_{cl} as a formal power series in g :

$$\psi_{cl} = \sum_{n \geq 2} g^{n-1} \psi_n(\phi_K^{\otimes n}) \quad (\text{B.8})$$

This is a Taylor expansion, with the adiabatic parameter included explicitly. Since ψ_{cl} is constrained to belong to imd^\dagger , so are all of its coefficients $\psi_n(\phi_K^{\otimes n})$. Using expansion (B.8), the first derivative of the tree-level potential takes the form:

$$\begin{aligned} \frac{\delta W}{\delta\phi_K} &= P\left(\phi_K \bullet \phi_K + g(\psi_2 \bullet \phi_K + \phi_K \bullet \psi_2) \right. \\ &\quad \left. + \sum_{n \geq 3} g^{n-1} \left(\psi_n \bullet \phi_K + \phi_K \bullet \psi_n + \sum_{p+q=n+1} \psi_p \bullet \psi_q \right) \right) \quad (\text{B.9}) \end{aligned}$$

Upon substituting (B.8) in the classical field equation $d\psi_{cl} + g(\phi_K + \psi_{cl}) \bullet (\phi_K + \psi_{cl}) = 0 \Leftrightarrow d\psi_{cl} = -g\pi_d[(\psi_K + \psi_{cl}) \bullet (\phi_K + \psi_{cl})]$ (recall that π_d is the projector on imd) and matching powers of g , we find a recurrence relation for $\psi_n := \psi_n(\phi_K^{\otimes n})$:

$$\begin{aligned} d\psi_2 &= -\pi_d(\phi_K \bullet \phi_K) \\ d\psi_n &= -\pi_d \left(\phi_K \bullet \psi_{n-1} + \psi_{n-1} \bullet \phi_K + \sum_{l+m=n} \psi_l \bullet \psi_m \right) \quad \text{for } n \geq 3 \quad (\text{B.10}) \end{aligned}$$

Since ψ_n belong to imd^\dagger , and the restriction $d : imd^\dagger \rightarrow imd$ is invertible, these relations can be solved as:

$$\begin{aligned} \psi_2 &= -\frac{1}{d}\pi_d(\phi_K \bullet \phi_K) = -U(\phi_K \bullet \phi_K) \\ \psi_n &= -U \left(\phi_K \bullet \psi_{n-1} + \psi_{n-1} \bullet \phi_K + \sum_{l+m=n} \psi_l \bullet \psi_m \right) \quad \text{for } n \geq 3 \quad (\text{B.11}) \end{aligned}$$